



Common Criteria Evaluated Configuration Guide for HP Document Scanners

HP Digital Sender Flow 8500 fn2 Document Capture Workstation
HP ScanJet Enterprise Flow N9120 fn2 Document Scanner



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1 Introduction

- Purpose
- The Target of Evaluation
- About this guide
- Additional documentation

Purpose

This guide describes how to configure supported HP Digital Sender (DS) models to conform to the Common Criteria Certification for the Target of Evaluation. The Target of Evaluation has been Common Criteria certified to conform to the Protection Profile for Hardcopy Devices v1.0. The supported models are listed in [Table 1-1](#). Hereafter, the models listed in [Table 1-1](#) will be referred to as Hardcopy Device (HCD).

IMPORTANT: The information in this guide supersedes related information in other product documentation. If any discrepancy appears between information in this guide and information in other product documentation, the information in this guide takes precedence.

The Target of Evaluation

The Target of Evaluation (TOE) is a supported HCD model with evaluated System and Jetdirect Inside firmware versions. The following table lists the supported HCD models along with the evaluated System firmware version for each model:

[Table 1-1](#) Supported HCD models and evaluated System firmware versions

Model name	Product number	System firmware version
HP ScanJet Enterprise Flow N9120 fn2 Document Scanner	L2763A	2411221_066386
HP Digital Sender Flow 8500 fn2 Document Capture Workstation	L2762A	2411221_066358

All HCD models use the same Jetdirect Inside firmware version.

- JSI24110061

NOTE: The firmware versions above are Common Criteria certified in English only.

About this guide

This guide is intended for HP service providers and network administrators responsible for deploying the HCD in accordance with the Common Criteria certified evaluated configuration. A working knowledge of HP HCDs is required to effectively use this guide.

Additional documentation

For an overview of the HCD or information to physically set up the HCD, use the control panel, or troubleshoot issues, see the user and installation guides for your HCD.

The following table lists the user guides for the HCD models:

Table 1-2 User guides

Models	Guide
N9120, 8500	HP Digital Sender Flow 8500 fn2 Document Capture Workstation, HP ScanJet Enterprise Flow N9120 fn2 Document Scanner User Guide Edition 4, 7/2020

2 Secure acceptance of the TOE

- Verify the TOE hardware
- Identify the TOE hardware
- Acquire the TOE firmware and guidance documentation files

Verify the TOE hardware

You must verify the TOE hardware has not been tampered with during delivery and the correct TOE hardware model was received.

Use the following steps to verify the TOE hardware has not been tampered with during delivery:

- Inspect the cardboard box the TOE hardware was delivered in. Ensure the cardboard box contains the HP logo, has not been opened and resealed, the product information label is present, and no major physical damage exists.
- Inspect the contents of the cardboard box. Ensure all expected items have been delivered, the packaging that contains the TOE hardware has not been tampered with, and no missing or reapplied tape exists on the TOE hardware.

Use the following steps to verify the delivered TOE hardware is the correct model:

- Verify the full product model name, serial number and product number in the order confirmation is consistent with the label on the cardboard box.
- Verify the invoice located in the cardboard box the TOE hardware was delivered in is consistent with the order confirmation.
- Verify the serial number and product number on the product label on the back of the TOE hardware is consistent with the order confirmation.

After verifying the TOE hardware has not been tampered with during delivery and the TOE hardware is the correct model, use the information below to identify the TOE hardware.

Identify the TOE hardware

Before installing the TOE hardware, you must verify that the model name and product number of the TOE hardware is listed in [Table 1-1](#). You can locate the model name on the front of the TOE hardware and the product number on the product label on the back.

Each full product model name has an associated product number. Go to hp.com or use the product user guide to determine the full product model name using the product number. Verify the product name matches the order confirmation.

Once you have verified the model name and product number of the TOE hardware, continue with physically installing and setting up the hardware using the information in the installation guide for your TOE model.

After the installation of the TOE hardware is complete, use the information below to acquire the certified TOE firmware and guidance documentation files.

Acquire the TOE firmware and guidance documentation files

The certified TOE firmware and guidance documentation files are available for free on the HP SW Depot, an electronic storefront. Use the following information to download the certified TOE firmware and guidance documentation from the HP SW Depot.

TOE firmware, guidance documentation, and configuration files

The certified TOE firmware, guidance documentation, and configuration files are packaged in a .zip file published on the HP SW Depot. The following tables list the contents of the .zip files for the MFP models:

Table 2-1 HP Digital Sender Flow 8500 fn2 Document Capture Workstation files

File name	Description
HP_YA3_HCDPP_CCECG_Ed_1.pdf	This guide.
8500fn2_fs4.12_fw_2411221_066358.bdl	Product firmware. SHA-256 hash: 1788a530e955c0e2fa4c9d4b1b2e907bb1b4227159aa159dbb1a2b0940322758
c05556173.pdf	HP Digital Sender Flow 8500 fn2 Document Capture Workstation, HP ScanJet Enterprise Flow N9120 fn2 Document Scanner User Guide Edition 4, 7/2020

Table 2-2 HP ScanJet Enterprise Flow N9120 fn2 Document Scanner files

File name	Description
HP_YA3_HCDPP_CCECG_Ed_1.pdf	This guide.
N9120fn2_fs4.12_fw_2411221_066386.bdl	Product firmware. SHA-256 hash: 355cd60367494bf4b851c50d08393a2423af2a86194e5806020836c572aebd8
c05556173.pdf	HP Digital Sender Flow 8500 fn2 Document Capture Workstation, HP ScanJet Enterprise Flow N9120 fn2 Document Scanner User Guide Edition 4, 7/2020

Download the TOE files from the HP SW Depot

Use the following steps to download the .zip file containing the TOE files for your MFP model from the HP SW Depot.

1. Request a user name and password by sending an email to the following address:

ccc-hp-enterprise-imaging-printing@hp.com

2. Open the following URL in a web browser:

<https://h30670.www3.hp.com/portal/kiosk>

3. On the [PPS KIOSK](#) login page, enter the user name and password obtained in step 1, then click [Next](#).
4. Click the link for your HCD in the [Tools, products, and technologies](#) section.

An overview of the Common Criteria certification is displayed. Do not click [Select](#) at this point.

5. Click the [Installation](#) link.

The [Installation](#) page containing information to securely download the .zip file containing the evaluated firmware and guidance documentation opens.

6. Confirm that the [Installation](#) page was downloaded securely by verifying the following:

- The text in the URL field starts with `https://`
- The host following the `https://` prefix is within the `hp.com` domain.
- A locked padlock icon is displayed by the web browser.
- The web browser has not displayed any warnings related to the website's certificate.

Anything to the contrary indicates that the Installation page was not downloaded securely, in which case nothing on the page can be trusted.

If the connection is secure, either save or print the [Installation](#) page. After downloading the .zip file, its integrity must be verified using the information in the [Installation](#) page.

7. After saving or printing the [Installation](#) page, click [Select](#).

A sign in page opens.

8. If you have HP sign-in credentials, enter your user name and password, then click [Sign In](#). If you do not have HP sign-in credentials, click the [Don't have an account? Sign up](#) link and complete the registration process.

The [Product specifications](#) page opens after signing in.

9. Review and make any necessary changes in the [Customer Information](#) and [Address](#) sections.

10. Review and agree to the software license terms, then click [Next](#).

An electronic delivery receipt is sent to the email address associated with your HP account. The [Software downloads and licenses](#) page appears.

11. Click the [Download](#) link for the .zip file in the [Software](#) section.

Verify the integrity of the HP SW Depot download

Use a tool capable of generating SHA-256 hashes to verify the integrity of the .zip file download. The steps below were written specifically with the DigitalVolcano Hash Tool (version 1.1.0.0) as the hash generating tool, but any tool with the required capability can be used.

1. Launch the DigitalVolcano Hash Tool.
2. Select [SHA-256](#) from the [Hash Type](#) drop-down menu.
3. Click the [Select File\(s\)](#) button in the [Input Field](#) section and browse to the .zip file.

The DigitalVolcano Hash Tool generates a SHA-256 hash of the .zip file and displays it in the area labeled [Last Hash](#).

4. Visually compare the SHA-256 hash generated in the previous step with the SHA-256 hash contained in the [Installation](#) page from the HP SW Depot.

If the hashes match, then the .zip file has not been compromised.

If the hashes don't match, then either an error occurred during the download or the .zip file on the server is not the same as the original. Try downloading the .zip file again and repeat the verification steps. If on the successive attempt the hashes still do not match, and you are certain that the download proceeded without any issues, send an email to ccc-hp-enterprise-imaging-printing@hp.com describing the comparison failure.

3 Operational environment

- Assumptions
- Organizational security policies
- Security objectives
- Non-TOE components
- TOE users

Assumptions

This section describes the physical, personnel, and connectivity assumptions that must be satisfied by the Operational Environment to maintain the security of the TOE.

Physical

- Physical security, commensurate with the value of the TOE and the data it stores or processes, is assumed to be provided by the environment.

Personnel

- TOE Administrators are trusted to administer the TOE according to site security policies.
- Authorized Users are trained to use the TOE according to site security policies.

Connectivity

- The Operational Environment is assumed to protect the TOE from direct, public access to its LAN interface.

Organizational security policies

The following requirements detail restrictions to TOE use and functionality. These requirements must be followed in the evaluated configuration.

- Users must be authorized before performing document processing and administrative functions.
- Security-relevant activities must be audited, and the log of such actions must be protected and transmitted to an External IT Entity.
- The TOE must be able to identify itself to other devices on the LAN.
- If the TOE stores User Document Data or Confidential TSF Data on Field-Replaceable Nonvolatile Storage Devices, it will encrypt such data on those devices.
- Cleartext keys, submasks, random numbers, or any other values that contribute to the creation of encryption keys for Field-Replaceable Nonvolatile Storage of User Document Data or Confidential TSF Data must be protected from unauthorized access and must not be stored on that storage device.
- If the TOE provides a PSTN fax function, it will ensure separation between the PSTN fax line and the LAN.
- Upon completion or cancellation of a document processing job, the TOE shall overwrite residual image data from its Field-Replaceable Nonvolatile Storage Device.

Security objectives

The following are the security objectives for the Operational Environment that must be met in the evaluated configuration.

- The Operational Environment shall provide physical security, commensurate with the value of the TOE and the data it stores or processes.
- The Operational Environment shall provide network security to protect the TOE from direct, public access to its LAN interface.
- The TOE Owner shall establish trust that Administrators will not use their privileges for malicious purposes.
- The TOE Owner shall ensure that Users are aware of site security policies and have the competence to follow them.
- The TOE Owner shall ensure that Administrators are aware of site security policies and have the competence to use manufacturer's guidance to correctly configure the TOE and protect passwords and keys accordingly.

Non-TOE components

The following are the required components for the Operational Environment:

- One administrative client computer network connected to the TOE in the role of an Administrative Computer
- Web browser installed on the administrative client computer network connected to the TOE in the role of an Administrative Computer
- Domain Name System (DNS) server
- Network Time Service (NTS) server
- Windows Internet Name Service (WINS) server
- Syslog server
- At least one of the following remote authentication servers:
 - Windows domain controller/Kerberos server
 - Lightweight Directory Access Protocol (LDAP) server

The following are the optional components for the Operational Environment:

- Remote file systems:

- Server Message Block (SMB)
 - File Transfer Protocol (FTP)
- Microsoft SharePoint® server (useful with Flow models only)
- Simple Mail Transfer Protocol (SMTP) gateway

TOE users

This guide defines Users as entities external to the TOE and which interact with the TOE. There are two types of Users:

- U.NORMAL – A user who is identified and authenticated and does not have an administrative role.
- U.ADMIN – A user who is identified and authenticated and has an administrative role.

For clarity in this guide, the following distinctions are made:

- Control panel users – U.NORMAL and U.ADMIN users who physically access the TOE's control panel.
- EWS users – U.ADMIN users who access the TOE's embedded web server through a web browser.
- REST users – U.ADMIN users who access the TOE's REST Web Services interface using HTTP.

4 Before configuring the HCD

- Restrictions for the evaluated configuration
- Pre-configuration tasks

Restrictions for the evaluated configuration

The following items must be adhered to in the evaluated configuration.

- HP Digital Sending Software (DSS) must be disabled.
- Only one Administrative Computer must be used to manage the TOE.
- Third-party solutions must not be installed on the TOE.
- Device USB must be disabled.
- Host USB plug and play must be disabled.
- Jetdirect Inside management via telnet and FTP must be disabled.
- HP Jetdirect XML Services must be disabled.
- Only X.509v3 certificates and pre-shared key are supported methods for IPsec authentication. (IPsec authentication using Kerberos is not supported).
- IPsec Authentication Headers (AH) must be disabled.
- Control Panel Mandatory Sign-in must be enabled (this disables the Guest role).
- SNMP must be disabled.
- The Service PIN, used by a customer support engineer to access functions available to HP support personnel, must be disabled.
- Wireless functionality must be disabled:
 - Near Field Communication (NFC) must be disabled.
 - Bluetooth Low Energy (BLE) must be disabled.
 - Wireless Direct Print must be disabled.
 - Wireless station must be disabled.
- When using Windows Sign In, the Windows domain must reject Microsoft NT LAN Manager (NTLM) connections.
- Remote Control-Panel use is disallowed.
- Local Device Sign In accounts must not be created (i.e., only the Device Administrator account is allowed as a Local Device Sign In account).

- Access must be blocked to the following Web Services (WS) using Jetdirect Inside's IPsec/Firewall:
 - Open Extensibility Platform device (OXPd) Web Services
 - WS* Web Services
- Device Administrator Password must be set.
- Remote Configuration Password must not be set.
- OAUTH2 use is disallowed.
- SNMP over HTTP use is disallowed.
- HP Workpath Platform must be disabled.
- Licenses must not be installed to enable features beyond what is supported in the evaluated configuration.
- Internet Fax and LAN Fax must be disabled.
- Firmware updates through REST Web Services is disallowed.
- Remote User Auto Capture must be disabled.
- Smart Cloud Print must be disabled.

Pre-configuration tasks

Use the information and steps in the following sections to perform the pre-configuration tasks.

Physically secure the HCD

The HCD must be placed in a restricted and/or monitored environment that provides protection from unmanaged access to physical components and data interfaces. For additional protection, you must use the information below to physically secure the HCD's formatter cage to the HCD chassis.

Install a cable lock designed for use with a Kensington Security Slot (K Slot)

This type of lock is the industry standard for securing electronic equipment such as laptop computers. Various models of key-operated and combination-operated K-Slot cable-locks are available. Two of the most well-known brands of these locks are Kensington and PC Guardian.

Follow these steps to install the cable lock:

1. (Optional) Wrap the lock's cable around an immovable or difficult-to-move object and pass the lock through the cable. Once the lock is securely attached to an HCD, it will help protect the HCD from being moved by unauthorized personnel. If this wrap-around step is skipped, the lock will not protect the HCD against unauthorized moving but will still protect it against unauthorized tampering with its internal ports and storage devices.
2. Insert the key in the lock and turn it clockwise until it stops. The lock is now unlocked and ready to be installed.
3. Insert the lock in the HCD's K Slot (or in the adapter's K Slot where applicable). Turn the key counter-clockwise until it stops and remove the key. The lock is now locked. It holds the metal formatter housing closed and keeps it attached to the HCD, thus securing the HCD's sensitive internal components.

Other pre-configuration tasks

The following are other pre-configuration tasks that must be performed:

- If X.509v3 certificates are to be used for IPsec authentication:
 - Install an identity certificate generated and signed by a trusted Certificate Authority (CA) on the computers for IPsec authentication.
 - Obtain an identity certificate with private key generated and signed by a trusted CA that can be used for IPsec authentication. You will install the identity certificate in the HCD's certification store as part of the evaluated configuration process.
 - Install the certificate of a trusted CA on the computers that can validate the identity certificate that will be installed on the HCD for IPsec authentication.
 - Obtain the certificate of a trusted CA that can be used by the HCD to validate the identity certificates installed on computers for IPsec authentication. You will install the CA certificate on the HCD as part of the evaluated configuration process.
- If passive mode FTP is to be used, obtain the range of ports for data transfers configured on the FTP server.

5 Configure the HCD

- Introduction
- IP network settings
- Certified TOE firmware
- System and network settings (excluding IPsec)
- IPsec

Introduction

This chapter describes how to configure the HCD to match the evaluated configuration that has been Common Criteria certified.

Configuration methods



The following methods are used to configure the scanner:

- Control panel – This method involves using the control panel located on the front of the HCD.
- Embedded Web Server (EWS) – This method involves using a web browser to connect to the EWS on the HCD.
- SNMP – This method involves using an SNMP tool capable of sending SNMPv3 requests to the HCD's SNMP interface.

NOTE: The SNMP interface is used to apply configurations in the evaluated configuration process. After the evaluated configuration process is finished, this interface is disabled in the resultant evaluated configuration.

How to find the HCD's IP address or hostname

Use the following steps to find the HCD's IP address or hostname.

1. On the HCD control panel, touch the **Information**  button.
2. Select the **Network**  icon to display the IP address or hostname.

How to access the preboot menu

Use the following steps to access the preboot menu.

1. Power off the HCD and then power it back on.
2. As soon as the HCD boots up and the HP logo is displayed on the control panel touchscreen display, tap the touchscreen just below the HP logo.

How to access the EWS

1. On the Administrative Computer, open a web browser.
2. In the address line, type the HCD's IP address or hostname exactly as it displays on the HCD control panel.
3. Press the **Enter** key on the computer keyboard. The EWS opens.

NOTE: If your web browser displays a message indicating that accessing the website might not be safe, select the option to continue to the website. Accessing this website will not harm the computer.

IP network settings

Use the information and steps described in the *Manage the scanner > Configure IP network settings* section in the user guide to configure the IP network settings.

Certified TOE firmware

In the evaluated configuration, the HCD must be running the certified TOE firmware.

Update the firmware

Use the following steps to install the certified TOE firmware.

1. Open the [General](#) tab of the EWS.
2. Select the [Firmware Upgrade](#) menu item.
3. Clear the [Automatic Back up/Restore](#) check box.

NOTE: Clearing the [Automatic Back up/Restore](#) check box will delete any previously saved automatic backup files of HCD settings.

4. Click [Save](#).
5. In the [Install New Firmware](#) area, click [Choose File](#) and browse to the product firmware bundle file acquired from the HP SW Depot.
6. Click [Install](#).

The web browser will transfer the product firmware bundle file to the HCD.

7. If the certified TOE firmware version is older than the current firmware version, a [Confirmation Page](#) will be displayed prompting you to confirm “rolling back” to an older version of firmware. Click [Rollback](#).

The HCD will turn itself off and then back on. On boot, the HCD will update its firmware to the certified TOE firmware version.

Verify the certified TOE firmware version

Use the following steps to verify the HCD is running the certified TOE firmware version.

1. Open the [Information](#) tab of the EWS.
2. Select the [Configuration Page](#) menu item.
3. In the [Device Information](#) area, verify the [Firmware Revision](#) number matches the evaluated System firmware version number using the following table:

Table 5-1 Evaluated System firmware version numbers

Model name	System firmware version
HP ScanJet Enterprise Flow N9120 fn2 Document Scanner	2411221_066386
HP Digital Sender Flow 8500 fn2 Document Capture Workstation	2411221_066358

4. Open the [Networking](#) tab of the EWS.
5. Select the [Configuration Page](#) menu item.
6. In the [General Information](#) area, verify the [Firmware version](#) number matches the evaluated Jetdirect Inside firmware version number of JSI24110061.

System and network settings (excluding IPsec)

Trusted Platform Module

In the evaluated configuration, if the Trusted Platform Module (TPM) is installed, it must be disabled. Use the following steps to disable the TPM:

1. Open the preboot menu.

NOTE: For steps to open the preboot menu, see the [How to access the preboot menu](#) section.

2. Select the [Administrator](#) menu item.
3. Select the [TPM Config](#) menu item.
4. Select the [Status](#) menu item.
5. If the status reads [TPM Present but Disabled](#), the TPM is already disabled. Navigate back to the main menu and select [Continue](#) to resume boot. If the status reads [TPM Installed and configured for use](#), proceed to step 6.
6. Select the [Stop Using](#) menu item.

The [Data will be lost Firmware reinstall may be needed](#) message appears.

7. Press [Enter](#) on the computer keyboard.
The [Disable TPM](#) message appears.
8. Make sure [Accept](#) is displayed and press [Enter](#) on the computer keyboard.
The [TPM Disable was Successful](#) message appears.
9. Press [Enter](#) on the computer keyboard.
10. Navigate back to the main menu.
11. Select [Continue](#) to resume boot.

Cold reset

Use the following steps to perform a cold reset to restore factory defaults on the HCD.

12. Open the preboot menu.

NOTE: For steps to open the preboot menu, see the [How to access the preboot menu](#) section.

13. Select the [Administrator](#) menu item.
14. Select the [Startup Options](#) menu item.
15. Scroll down the list of items and locate the [Cold Reset](#) check box.
16. Check the [Cold Reset](#) check box by selecting this menu item and then selecting [OK](#).
17. Navigate back to the main preboot menu.
18. Select [Continue](#) to resume boot.

Preboot menu administrator password

A preboot menu administrator password can be configured to restrict access to maintenance and administrative functions available in the preboot menu. In the evaluated configuration, a preboot menu administrator password must be configured.

Use the following steps to configure a preboot menu administrator password.

1. Open the preboot menu.

NOTE: For steps to open the preboot menu, see the [How to access the preboot menu](#) section.

2. Select the [Administrator](#) menu item.
3. Select the [Change Password](#) menu item.
4. Enter a password that is at least 8 digits long, then accept the password by selecting [OK](#).
5. Re-enter the password, then accept the password by selecting [OK](#). If the passwords match, the [New Password Accepted](#) message appears.
6. Navigate back to the main menu.
7. Select [Continue](#) to resume boot.

Service access

The HCD contains a built-in service account designed for use by authorized service personnel to perform maintenance and repair functions. In the evaluated configuration, the built-in service account must be disabled.

Use the following steps to disable the built-in service account.

1. Open the preboot menu.

NOTE: For steps to open the preboot menu, see the [How to access the preboot menu](#) section.

2. Sign in using the preboot menu administrator password.
3. Select the [Administrator](#) menu item.
4. Select the [Startup Options](#) menu item.
5. Scroll down the list of items and locate the [Lock Service](#) check box.
6. Check the [Lock Service](#) check box by selecting this menu item and then selecting [OK](#).
7. Navigate back to the main preboot menu.
8. Select [Continue](#) to resume boot.

SNMP over HTTP

In the evaluated configuration, SNMP over HTTP must be disabled.

Before you begin, an SNMP command line tool must be installed on the Administrative Computer.

NOTE: The commands specified in some of the steps below contain the following variable:

- <HCD IP address>

When typing the commands specified in the steps below, make sure to replace <HCD IP address> with the HCD's IP address.

1. Open a Command Prompt in Windows.
2. Type the following command to disable SNMP over HTTP:

```
snmpset -v 2c -c public <HCD IP address> 1.3.6.1.4.1.11.2.4.3.5.114.0 s  
snmp_over_http_disable
```

3. Press [Enter](#) on the computer keyboard.
4. Type the following command to verify SNMP over HTTP is disabled:

```
snmpget -v 2c -c public <HCD IP address> 1.3.6.1.4.1.11.2.4.3.5.114.0
```

5. Press [Enter](#) on the computer keyboard.

If SNMP over HTTP is disabled, the command output will contain the following string:

```
snmp_over_http_disable
```

SNMP

In the evaluated configuration, SNMP must be disabled.

1. Open the [Networking](#) tab of the EWS.
2. Select the [Network Settings](#) menu item.
3. In the [SNMPv1/v2](#) area, select the [Disable SNMPv1/v2](#) radio button.
4. In the [SNMPv3](#) area, clear the [Enable SNMPv3](#) check box.
5. Click [Apply](#).

Control panel inactivity timeout

The HCD automatically signs out a control panel user when their session has been inactive for the inactivity-timeout. By default, the inactivity-timeout is set to 60 seconds. In the evaluated configuration, the inactivity-timeout must be set to value in the range of 10-60 seconds.

Use the following steps to configure the [Inactivity Timeout](#) for the control panel.

1. Open the [General](#) tab of the EWS.
2. Select the [Display Settings](#) menu item.
3. In the [Inactivity Timeout](#) field, enter a value in the range of 10-60.
4. Click [Apply](#).

Home screen customization

In the evaluated configuration, only certain applications may be shown on the control panel home screen. The following are these applications:

- Scan to Email
- Scan to Network Folder
- Scan to SharePoint®
- Reports
- Quick Sets
- Supplies
- Job Log
- Settings
- Accessibility
- Support Tools
- Contacts

All other applications must be hidden.

Use the following steps to hide applications on the control panel home screen.

1. Open the [General](#) tab of the EWS.
2. Select the [Home Screen Customization](#) menu item.

NOTE: The [Home Screen Customization](#) menu item is only available when you are signed into the EWS with administrative privileges.

3. Under the [Home Screen Customization](#) area, hide applications that must not be shown in the [Home Screen](#).

Welcome message

A welcome message for control panel users may optionally be configured. If a welcome message is configured, control panel users must first accept the welcome message prior to sign-in.

If a welcome message is to be used, use the following steps to configure the welcome message.

1. Open the [General](#) tab of the EWS.
2. Select the [Display Settings](#) menu item.
3. Check the [Show Welcome Message](#) check box.

4. In the [Title](#) and [Text](#) fields, enter the desired text.
5. Select the preferred header background color for the welcome message.
6. Click [Apply](#).

Date and time

In the evaluated configuration, the HCD must be configured to synchronize its system time with a network time server.

Time zone

Use the following steps to configure the time zone.

1. Open the [General](#) tab of the EWS.
2. Select the [Date/Time Settings](#) menu item.
3. In the [Product Time](#) area, click the [Change](#) button next to the currently configured time zone.
4. From the [Time Zone](#) drop-down menu, select the time zone for your locality.
5. Click [Apply](#).

Network time server

Use the following steps to configure the HCD to synchronize its system time with a network time server.

1. Open the [General](#) tab of the EWS.
2. Select the [Date/Time Settings](#) menu item.
3. In the [Network Time Server](#) area, check the [Automatically synchronize with a Network Time Server](#) check box.
4. Click [Apply](#).
5. In the [Network Time Server](#) area, click the [NTS Settings](#) button.
6. In the [Network Time Server Address](#) field, enter the IP address or hostname of the network time server.
7. In the [Local Port to Receive Time from Server](#) field, enter 1230 if not already specified.
8. In the [Synchronize Time with Server every](#) field, enter a value in the range of 1-24.
9. Click the [Synchronize Now](#) button.

If the HCD successfully synchronizes its system time with the network time server, the [Time Server Status](#) field will display the string “The server has been configured and is responding.”

10. Click [Apply](#).

Scan to Email

If email is to be used, use the information and steps in the *Use the scanner > Set up Scan to Email* section in the user guide for your HCD.

Scan to Network Folder

If Scan to Network Folder is to be used, use the information and steps in the *Use the scanner > Set up Scan to Network Folder* section in the user guide for your HCD.

Scan to SharePoint®

If Scan to SharePoint® is to be used, use the information and steps in the *Use the scanner > Set up Scan to SharePoint®* section in the user guide for your HCD.

Digital sending software

HP Digital Sending Software (DSS) is a server-based software solution designed to manage the HCD and enhance digital sending functionality. In the evaluated configuration, the HCD must be configured to disallow the use of a DSS server.

Use the following steps to configure the HCD to disallow the use of a DSS server.

1. Open the [Scan/Digital Send](#) tab of the EWS.
2. Select the [Digital Sending Software Setup](#) menu item.
3. Clear the [Allow use of a DSS server](#) check box.
4. Click [Apply](#).

Fax send

The fax send feature can be used to send faxes of scanned documents via the LAN Fax Service or Internet Fax Service. In the evaluated configuration, fax send must be disabled.

1. Open the [Fax](#) tab of the EWS.

2. Select the [Fax Send Setup → Default Job Options](#) menu item.
3. Clear the [Enable Fax Send](#) check box.
4. Click [Apply](#).

Local administrator password

The local administrator password (a.k.a. device administrator password) can be used to sign into the control panel and EWS, and to authenticate to the REST Web Services interface. In the evaluated configuration, the local administrator must be configured to restrict access to the HCD's security settings to administrators.

Use the following steps to configure the local administrator password.

1. Open the [Security](#) tab of the EWS.
2. Select the [General Security](#) menu item.
3. Under the [Set the Local Administrator Password](#) area, in the [New Password](#) field, enter a password that is at least eight characters long and contains characters from three of the four following categories: uppercase letters, lowercase letters, numbers, and special characters ("!", "@", "#", "\$", "%", "^", "&", "*", "(", ")", ":", ";", "+", ",", "-", ".", ":", ";", "<", "=", ">", "?", "[", "/", "\", "]", "_", "`", "|", "~", "{", "}").
4. In the [Verify Password](#) field, re-enter the password.

NOTE: To change an existing password, first enter the existing password in the [Old Password](#) field.

5. Click [Apply](#).

Remote configuration password

By default, HP Digital Sending Software (DSS) uses the local administrator password to connect to a HCD. If the Remote Configuration Password has been configured, it can be used by the DSS and other remote configuration tools to connect. This allows the administrator to use separate EWS and DSS administrator passwords. In the evaluated configuration, the Remote Configuration Password must not be configured.

Use the following steps to clear the Remote Configuration Password.

1. Open the [Security](#) tab of the EWS.
2. Select the [General Security](#) menu item.
3. In the [Set the Remote Configuration Password](#) area, clear both the [Password](#) and [Verify Password](#) fields.
4. Click [Apply](#).

EWS session timeout

The HCD automatically signs out a user when their EWS session has been inactive for the EWS session timeout. By default, the EWS session timeout is set to 30 minutes. In the evaluated configuration, the EWS session timeout must be set to a value in the range of 3-10 minutes.

1. Open the [Security](#) tab of the EWS.
2. Select the [General Security](#) menu item.
3. In the [Embedded Web Server Options](#) area, enter a value in the range of 3-10 in the [EWS Session Timeout](#) field.
4. Click [Apply](#).

Remote user auto capture

The remote user auto capture setting can be used to allow remote users to receive scanned pages from the HCD without permission. In the evaluated configuration, the remote user auto capture setting must be disabled.

Use the following steps to disable the remote user auto capture setting.

1. Open the [Security](#) tab of the EWS.
2. Select the [General Security](#) menu item.
3. In the [WebScan Auto Capture Jobs](#) area, clear the [Enable Remote User Auto Capture](#) check box.
4. Click [Apply](#).

Firmware upgrade security

In the evaluated configuration, the installation of legacy packages signed with the SHA-1 hashing algorithm is disallowed.

Use the following steps to configure firmware upgrade security.

1. Open the [Security](#) tab of the EWS.
2. Select the [General Security](#) menu item.
3. In the [Firmware Upgrade Security](#) area, clear the [Allow installation of legacy packages signed with SHA-1 Hashing algorithm](#) check box.
4. Click [Apply](#).

Near Field Communication

If your HCD supports Near Field Communication (NFC), it must be disabled in the evaluated configuration.

Use the following steps to disable NFC.

1. Open the [Security](#) tab of the EWS.
2. Select the [General Security](#) menu item.
3. In the [Near Field Communication \(NFC\)](#) area, clear the [Enable Near Field Communication \(NFC\)](#) check box.
4. Click [Apply](#).

Bluetooth Low Energy

If your HCD supports Bluetooth Low Energy (BLE), it must be disabled in the evaluated configuration.

Use steps below to disable BLE.

1. Open the [Security](#) tab of the EWS.
2. Select the [General Security](#) menu item.
3. In the [Bluetooth Low Energy \(BLE\)](#) area, select [Disabled](#) from the drop-down menu.
4. Click [Apply](#).

Hardware ports

In the evaluated configuration, device USB and host USB plug and play must be disabled.

Use the following steps to disable device USB and host USB plug and play.

1. Open the [Security](#) tab of the EWS.
2. Select the [General Security](#) menu item.
3. In the [Hardware Ports](#) area, clear the [Enable Device USB](#) and [Enable Host USB plug and play](#) check boxes.
4. Click [Apply](#).

HP Workpath Platform

If your HCD supports the HP Workpath Platform, it must be disabled in the evaluated configuration.

Use the following steps to disable HP Workpath Platform.

1. Open the [Security](#) tab of the EWS.
2. Select the [General Security](#) menu item.
3. In the [HP Workpath Platform](#) area, click the [Disable](#) button if available.
4. Click [Apply](#).

Account policy

The HCD implements account policies for the local administrator. These include account policies for account lockout, password complexity, and minimum password length.

Use the following steps to configure the account policies for the local administrator user account to achieve the evaluated configuration. After achieving the evaluated configuration, use the following steps to manage the account lockout and minimum password length policies for the local administrator user account.

Local administrator account

Account lockout

Use the following steps to enable and configure account lockout.

1. Open the [Security](#) tab of the EWS.
2. Select the [Account Policy](#) menu item.
3. In the [Local Administrator Password](#) area, check the [Enable account lockout](#) check box.
4. In the [Maximum attempts](#) field, enter a value in the range of 3-10.
5. In the [Lockout interval](#) field, enter a value in the range of 60-1800.
6. In the [Reset lockout counter interval](#) field, enter a value in the range of 60-1800.
7. Click [Apply](#).

Password complexity

Use the following steps to enable password complexity for the local administrator password.

1. Open the [Security](#) tab of the EWS.
2. Select the [Account Policy](#) menu item.

3. In the [Local Administrator Password](#) area, check the [Enable password complexity](#) check box.
4. Click [Apply](#).

Minimum password length

Use the following steps to enable and set the minimum password length for the local administrator password.

1. Open the [Security](#) tab of the EWS.
2. Select the [Account Policy](#) menu item.
3. In the [Local Administrator Password](#) area, enter a value in the range of 8-16 in the [Minimum password length](#)
4. Click [Apply](#).

Access control

Configure and enable sign-in methods

In the evaluated configuration, all users must sign in before they can access the HCD's protected applications and features. The following sign-in methods are supported:

- **Local Device** - This sign-in method uses an authentication database stored on the HCD's storage drive to authenticate users. In the evaluated configuration, only the local administrator account is supported.
- **LDAP** - This sign-in method depends on an LDAP server on the network to authenticate users.
- **Windows** - This sign-in method depends on a Windows Active Directory domain on the network to authenticate users.

The Local Device sign-in method is always available and does not require any configuration. The LDAP sign-in method and Windows sign-in method must be configured and enabled before they can be used.

In the evaluated configuration, at least one of the sign-in methods that depends on an authentication server (e.g. LDAP server) must be configured and enabled.

Delete device user accounts

In the evaluated configuration, device user accounts are not supported for Local Device sign-in.

Use the following steps to delete any device user accounts that have been created.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.

3. In the [Device User Accounts](#) area, if any device user accounts have been created, click [Delete All](#).
4. Click [Delete](#).

Configure and enable LDAP sign-in method

If this sign-in method is to be used, use the following steps to configure and enable the sign-in method.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Enable and Configure Sign-in Methods](#) area, click [Setup](#) next to LDAP.
4. Check the [Enable LDAP Sign-In](#) check box.
5. In the [LDAP Server Address](#) field, enter the IP address or fully-qualified domain name (FQDN) of the LDAP server.
6. Clear the [Use a secure connection \(SSL\)](#) check box.
7. In the [Port](#) field, enter the port used by the server for LDAP communication.
8. In the [Server Authentication Requirements](#) area, perform the following:
 - a. If the credentials entered by the user at the control panel are to be used to bind to the LDAP server, select the [Use product user's credentials](#) option and enter the bind prefix in the [Bind Prefix](#) field.
 - b. If a global set of credentials are to be used to bind to the LDAP server, select the [Use LDAP Administrator's Credentials](#) option and enter the administrator's distinguished name in the [LDAP Administrator DN](#) field and password in the [Password](#) field.
9. In the [Bind and Search Root](#) field, enter the search root for looking up the user's name and email address, and then click [Add](#).
10. In the [Match the name entered with this attribute](#) field, enter the attribute whose contents should be compared to the user name entered at the control panel.
11. In the [Retrieve the device user's email address using this attribute](#) field, enter the attribute for looking up the user's email address.
12. In the [Retrieve the device user's name using this attribute](#) field, enter the attribute for looking up the user's name.
13. In the [Retrieve the device user's group using this attribute](#) field, enter the attribute for looking up the groups the user belongs to. By default, the HCD uses the objectClass attribute.

14. If the HCD is to perform an exact match on the group attribute when determining group membership for the user, check the [Exact match on Group attribute](#) check box.
15. To verify the LDAP Sign In configuration, perform the following steps in the [Test LDAP Sign-In](#) area:
 - a. Enter a user name in the [User Name](#) field.
 - b. Enter the password for the user name in the [Password](#) field.
 - c. Click [Test](#).
16. Click [OK](#).

Configure and enable Windows sign-in method

If this sign-in method is to be used, use the following steps to configure and enable the sign-in method.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Enable and Configure Sign-in Methods](#) area, click [Setup](#) next to Windows.
4. Check the [Enable Windows Sign-In \(Kerberos and NTLM\)](#) check box.
5. Enter the Windows Active Directory domain in the [Trusted Domains](#) field, then click [Add](#).
 - a. Repeat step 5 to add any additional domains that are to be recognized by the HCD.
 - b. If multiple Windows Active Directory domains have been added, select the default domain from the [Default Windows Domain](#) drop-down menu.
6. If multiple domain servers exist, check the [Show Preferred Domain Servers](#) check box and add the applicable domain servers.

NOTE: The specified [Preferred Domain Servers](#) will be used first, and if these servers do not work, the HCD will find domain servers based on the [Trusted Domains](#) list.

7. Clear the [Use a secure connection \(SSL\)](#) check box.
8. If the HCD is to perform reverse DNS lookups, check the [Enable reverse DNS lookups](#) check box.
9. In the [Match the name entered with this attribute](#) field, enter the attribute whose contents should be compared to the user name entered at the control panel. By default, the sAMAccountName attribute is used.
10. In the [Retrieve the device user's email address using this attribute](#) field, enter the attribute that is used for looking up the user's email address. By default, the mail attribute is used.

11. In the [Retrieve the device user's name using this attribute](#) field, enter the attribute that is used for looking up the user's name. By default, the displayName attribute is used.
12. In the [Nested Group Behavior](#) area, optionally check the [Inherit parent permissions](#) check box.
13. To validate the Windows Sign In configuration, perform the following steps in the [Test Windows Sign-In](#) area:
 - a. If multiple Windows Active Directory domains were added above, select a domain from the [Domain](#) drop-down menu.
 - b. Enter a user name in the [User Name](#) field.
 - c. Enter the password associated with the user in the [Password](#) field.
 - d. Click [Test](#).
14. Click [OK](#).

Configure permission sets

The HCD applies a permission set to the control panel session. The permission set applied to the control panel session determines which protected applications and features a user can access.

The HCD contains the following built-in permission sets:

- **Device Guest** – This permission set is automatically applied to all users. This permission set's permissions are configurable. In the evaluated configuration, all permissions in this permission set must be configured to deny access.
- **Device Administrator** – This permission set is granted to administrators (U.ADMINISTRATOR). This permission set's permissions are not configurable. All permissions in this permission set are hardcoded to grant access.
- **Device User** – This permission set is granted to non-administrative users (U.NORMAL). This permission set's permissions are configurable. In the evaluated configuration, the permissions in this permission set must be configured to grant access to non-administrative functions and configured to deny access to administrative functions.

In addition to the built-in permission sets above, custom permission sets can optionally be added. If custom permission sets are added in the evaluated configuration, they must not be configured to be more permissive (i.e., grant access to additional protected applications or features) than the Device User permission set.

Configure custom permission sets

In the evaluated configuration, custom permission sets can optionally be added to further subdivide non-administrative users into roles. If custom permission sets are to be used, use the following steps to create, edit, and delete custom permission sets.

Add a custom permission set

Use the following steps to add a custom permission set.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Sign-In and Permission Policies](#) area, click [Manage Permission Sets...](#)
4. Click [New...](#)
5. Enter the custom permission set name in the [Name](#) field.
6. Click [OK](#).
7. Click [Back](#) to return to the main [Access Control](#) EWS page.

Copy a custom permission set

Use the following steps to copy a custom permission set.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Sign-In and Permission Policies](#) area, click [Manage Permission Sets...](#)
4. Select the permission set to copy.
5. Click [Copy...](#)
6. Enter the custom permission set name in the [Name](#) field.
7. Click [OK](#).
8. Click [Back](#) to return to the main [Access Control](#) EWS page.

Edit a custom permission set

Use the following steps to edit a custom permission set.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Sign-In and Permission Policies](#) area, click [Manage Permission Sets...](#)
4. Check the check box for the custom permission set to edit.

5. Click [Edit...](#)
6. In the [Name](#) field, modify the name as desired.
7. Click [OK](#).
8. Click [Back](#) to return to the main [Access Control](#) EWS page.

Delete a custom permission set

Use the following steps to delete a custom permission set.






1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Sign-In and Permission Policies](#) area, click [Manage Permission Sets...](#)
4. Check the check box for the custom permission set to delete.
5. Click [Delete...](#)
6. Click [OK](#) to confirm the deletion of the custom permission set.
7. Click [Back](#) to return to the main [Access Control](#) EWS page.

Configure permissions for control panel realm

The following table lists the permissions configuration for the control panel realm that must be adhered to in the evaluated configuration.

NOTE: Depending on your MFP model, some of the permissions in the table below may not be available.

Table 5-2 Permissions configuration for control panel realm

1 st level	2 nd level	3 rd level	4 th level	Device Guest	Device User	Custom
Job Log and Active Jobs					<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Job Log and Active Jobs	Details or Cancel any user's job				<input type="checkbox"/>	<input type="checkbox"/>
Job Log and Active Jobs	Ability to Promote any user's job				<input type="checkbox"/>	<input type="checkbox"/>
Job Log and Active Jobs	Ability to view other specific users' jobs in the Job Log				<input type="checkbox"/>	<input type="checkbox"/>
Settings					<input type="checkbox"/>	<input type="checkbox"/>

1 st level	2 nd level	3 rd level	4 th level	Device Guest	Device User	Custom
Settings	General				<input type="checkbox"/>	<input type="checkbox"/>
Settings	General	Date/Time			<input type="checkbox"/>	<input type="checkbox"/>
Settings	General	Energy Settings			<input type="checkbox"/>	<input type="checkbox"/>
Settings	General	Restore Factory Settings			<input type="checkbox"/>	<input type="checkbox"/>
Settings	General	Enable Device USB			<input type="checkbox"/>	<input type="checkbox"/>
Settings	General	Display Settings			<input type="checkbox"/>	<input type="checkbox"/>
Settings	Manage Supplies				<input type="checkbox"/>	<input type="checkbox"/>
Settings	Manage Supplies	Reset Supplies			<input type="checkbox"/>	<input type="checkbox"/>
Settings	Networking				<input type="checkbox"/>	<input type="checkbox"/>
Settings	Networking	Network Protocols			<input type="checkbox"/>	<input type="checkbox"/>
Settings	Fax				<input type="checkbox"/>	<input type="checkbox"/>
Settings	Fax	Fax Send Settings			<input type="checkbox"/>	<input type="checkbox"/>
Settings	Scan/Digital Send				<input type="checkbox"/>	<input type="checkbox"/>
Settings	Scan/Digital Send	Digital Sending Software (DSS) Setup			<input type="checkbox"/>	<input type="checkbox"/>
Settings	Scan/Digital Send	Email Settings			<input type="checkbox"/>	<input type="checkbox"/>
Settings	Scan/Digital Send	Email Settings	Email Setup		<input type="checkbox"/>	<input type="checkbox"/>
Settings	Scan/Digital Send	Network Folder Settings			<input type="checkbox"/>	<input type="checkbox"/>
Settings	Scan/Digital Send	Scan to USB Drive Settings			<input type="checkbox"/>	<input type="checkbox"/>
Support tools					<input type="checkbox"/>	<input type="checkbox"/>
Support tools	Troubleshooting menu				<input type="checkbox"/>	<input type="checkbox"/>
Support tools	Troubleshooting menu	Retrieve Diagnostic Data			<input type="checkbox"/>	<input type="checkbox"/>
Reports					<input type="checkbox"/>	<input type="checkbox"/>
Reports	Configuration/Status Pages				<input type="checkbox"/>	<input type="checkbox"/>
Reports	Configuration/Status Pages	Configuration Page			<input type="checkbox"/>	<input type="checkbox"/>

1 st level	2 nd level	3 rd level	4 th level	Device Guest	Device User	Custom
Reports	Configuration/Status Pages	Usage Page			<input type="checkbox"/>	<input type="checkbox"/>
Reports	Configuration/Status Pages	File Directory			<input type="checkbox"/>	<input type="checkbox"/>
Reports	Configuration/Status Pages	Color Usage Job Log			<input type="checkbox"/>	<input type="checkbox"/>
Supplies					<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Fax					<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Fax	Ability to edit the billing code				<input type="checkbox"/>	<input type="checkbox"/>
Fax	Load Fax Quick Set				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Fax	Save new Quick Set for Fax				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Fax	Save defaults for Fax				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Email					<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Email	Ability to edit the From field for email				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Email	Ability to edit the To field for email				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Email	Ability to edit the CC field for email				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Email	Ability to edit the BCC field for email				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Email	Ability to edit the Subject field for email				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Email	Ability to edit the body of an email				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Email	Load Email Quick Set				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Email	Save new Quick Set for Email				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Email	Save defaults for Scan to Email				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Contacts					<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Contacts	Ability to edit a Speed Dial				<input type="checkbox"/>	<input type="checkbox"/>
Contacts	Ability to manage contacts in a Personal address book				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Contacts	Ability to manage contacts in shared address books				<input type="checkbox"/>	<input type="checkbox"/>

1 st level	2 nd level	3 rd level	4 th level	Device Guest	Device User	Custom
Scan to SharePoint®						
Scan to SharePoint®	Ability to edit the SharePoint® path					
Scan to Network Folder						
Scan to Network Folder	Ability to edit the network folder path					
Scan to Network Folder	Load Scan to Network Folder Quick Set					
Scan to Network Folder	Save new Quick Set for Scan to Network Folder					
Scan to Network Folder	Save defaults for Scan to Network Folder					
Scan to USB Drive					<input type="checkbox"/>	<input type="checkbox"/>
Scan to USB Drive	Load Scan to USB Drive Quick Set				<input type="checkbox"/>	<input type="checkbox"/>
Scan to USB Drive	Save new Quick Set for Scan to USB Drive				<input type="checkbox"/>	<input type="checkbox"/>
Scan to USB Drive	Save defaults for Scan to USB Drive				<input type="checkbox"/>	<input type="checkbox"/>
Remote Scan Request					<input type="checkbox"/>	<input type="checkbox"/>
HP Command Center					<input type="checkbox"/>	<input type="checkbox"/>

Access Granted Requires Sign In Full Access Access Denied

Use the following steps to configure the permissions for the control panel realm.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Sign-In and Permissions Policies](#) area, view and configure the [Control Panel](#) permissions for each the Device Guest permission set, Device User permission set, and custom permission sets (if any have been added) to adhere to the requirements described above.

NOTE: The permissions contained in the Device Administrator permission set can also be viewed in the [Sign-In and Permissions Policies](#) section.

NOTE: Control Panel Mandatory Sign-in is enabled when all permissions in the Device Guest permission are configured to deny access.

4. Click [Apply](#).

Configure permissions for the EWS realm

In the evaluated configuration, only administrators must be granted access to the EWS.

Use the following steps to configure the permissions for the EWS realm.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Sign-In and Permissions Policies](#) area, view and configure all [EWS](#) permissions for each the Device Guest permission set, Device User permission set, and custom permission sets (if any have been added) to deny access.

NOTE: The permissions contained in the Device Administrator permission set can also be viewed in the [Sign-In and Permissions Policies](#) section.

4. Click [Apply](#).

Set the default sign-in method for the control panel

By default, the Local Device sign-in method is the default sign-in method for the control panel. You can optionally set another sign-in method as the default.

NOTE: When signing into the control panel, a user can select any of the available sign-in methods to sign in.

Use the following steps to set a new default sign-in method for the control panel.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Sign-In and Permission Policies](#) area, select the sign-in method from the [Sign-In Method](#) drop-down menu for the [Control Panel](#).
4. Click [Apply](#).

Set the default sign-in method for the EWS

By default, the Local Device sign-in method is the default sign-in method for the EWS. You can optionally set another sign-in method as the default for the EWS.

NOTE: When signing into the EWS, a user can select any of the available sign-in methods to sign in.

Use following steps to set a new default sign-in method for the EWS.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Sign-In and Permission Policies](#) area, select the sign-in method from the [Sign-In Method](#) drop-down menu for the EWS.
4. Click [Apply](#).

Lock control panel applications to sign-in methods

Control panel applications can optionally be locked to sign-in methods. When a control panel application is locked to a sign-in method, the user must sign in using the sign-in method assigned to an application in order to access the application.

If control panel applications are to be locked to sign-in methods in your operational environment, use the following steps to apply this configuration.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Sign-In and Permission Policies](#) area, select a sign-in method from the [Sign-In Method](#) drop-down menu for each control panel application (e.g. [Settings](#) application).
4. Clear the [Allow users to choose alternate sign-in methods at the product control panel](#) check box.
5. Click [Apply](#).

Job behavior

The HCD contains an [Automatically sign out](#) feature that can be enabled to automatically sign out a control panel user after they start a job. In the evaluated configuration, this feature must be disabled.

Use the following steps to disable the [Automatically sign out](#) feature.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Job Behavior](#) area, clear the [Automatically sign out](#) check box.
4. Click [Apply](#).

Set the default permission set for network users/groups

Network users are granted a default permission set when they sign in. Use the following steps to specify the default permission set for network users/groups.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Relationships Between Network Users or Groups and Device Permissions](#) area:
 - a. If the LDAP sign-in method is to be used, select the permission set from the [Default Permission Set for all Users/Groups](#) drop-down menu for LDAP that is to be the default permission set for LDAP sign-in method users.
 - b. If the Windows sign-in method is to be used, select the permission set from the [Default Permission Set for all Users/Groups](#) drop-down menu for Windows that is to be the default permission set for Windows sign-in method users.
4. Click [Apply](#).

Add specific network user or group to permission set relationships

Network user or groups can optionally be added if they need different permissions from the default permissions. Use the following steps to add, edit, and delete network user or group to permission set relationships.

Add a network user or group to permission set relationship

Use the following steps to add a network user or group to permission set relationship.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Relationships Between Network Users or Groups and Device Permissions](#) area, click [New...](#)
4. From the [User or Group](#) drop-down menu, select either [User](#) or [Group](#).
5. From the [Permission Set](#) drop-down menu, select a permission set to associate with the user or group.
6. From the [Sign-In Method](#) drop-down menu, select either [LDAP](#) or [Windows](#).
7. In the [Network User or Group Name](#) field, enter the user or group name.
8. Click [OK](#).

Edit a network user or group to permission set relationship

Use the following steps to edit a network user or group to permission set relationship.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Relationships Between Network Users or Groups and Device Permissions](#) area, select the check box for the network user or group permission set relationship to edit.
4. Click [Edit...](#)
5. From the [User or Group](#) drop-down menu, select either [User](#) or [Group](#).
6. From the [Permission Set](#) drop-down menu, select a permission set to associate with the user or group.
7. From the [Sign-In Method](#) drop-down menu, select either [LDAP](#) or [Windows](#).
8. In the [Network User or Group Name](#) field, enter the user or group name.
9. Click [OK](#).

Delete a network user or group to permission set relationship

Use the following steps to delete a network user or group to permission set relationship.

1. Open the [Security](#) tab of the EWS.
2. Select the [Access Control](#) menu item.
3. In the [Relationships Between Network Users or Groups and Device Permissions](#) area, check the check box(es) for the network user or group permission set relationship(s) to delete.
4. Click [Delete...](#)
5. To confirm the deletion of the network user or group to permission set relationship(s), click [Delete](#).

Drive-lock password

The HCD contains a self-encrypting drive (SED) that is locked to the HCD using a drive-lock password. As part of achieving the evaluated configuration, a new, random drive-lock password must be generated.

IMPORTANT: After achieving the evaluated configuration, the drive-lock password must not be changed.

The TOE firmware contains the HP FutureSmart OpenSSL FIPS Object Module 2.0.4. Before generating a new drive-lock password, FIPS-140 mode in the HP FutureSmart OpenSSL FIPS Object Module 2.0.4 must be enabled. Use the following steps to enable FIPS-140 mode.

1. Open the [Networking](#) tab of the EWS.
2. Select the [Secure Communication](#) menu item.
3. In the [FIPS Configuration](#) area, check the [Enable FIPS-140](#) check box.
4. Click [Apply](#).

Use the following steps to generate a new drive-lock password.

1. Open the [Security](#) tab of the EWS.
2. Select the [Protect Stored Data](#) menu item.
3. In the [Change Password for Encrypted Drives](#) area, click [Change Password...](#)

NOTE: The CTR_DRBG(AES) in the HP FutureSmart OpenSSL FIPS Object Module 2.0.4 is used to generate the drive-lock password.

4. Click [Continue](#) to confirm the operation.

The HCD will reboot and return to a ready state.

Managing temporary job files

The HCD can generate temporary job files and store them on the storage drive during document-processing job operations. In the evaluated configuration, the [Managing Temporary Job Files](#) feature must be configured to erase temporary job files stored on the storage drive when document-processing jobs are completed.

Use the following steps to set the file erase mode for the [Managing Temporary Job Files](#) feature.

1. Open the [Security](#) tab of the EWS.
2. Select the [Protect Stored Data](#) menu item.
3. In the [Managing Temporary Job Files](#) area, select either the [Secure Fast Erase \(Overwrite 1 time\)](#) or [Secure Sanitize Erase \(Overwrite 3 times\)](#) radio button.
4. Click [Apply](#).

Certificates

If X.509v3 certificates are to be used for IPsec authentication, the following configuration tasks must be performed in order to achieve the evaluated configuration:

1. Import identity certificate with private key.
2. Designate the imported identity certificate for network identity.
3. Install the CA certificates required to verify the identity certificates received from computers.

Use the following steps to perform configurations 1-3 above for your operation environment to achieve the evaluated configuration. After achieving the evaluated configuration, refer to the following sections to manage X.509v3 certificates used for IPsec authentication.

NOTE: In the evaluated configuration, only certificates with a 2048-bit or 3072-bit RSA key length and SHA-256, SHA-384 or SHA-512 signature algorithm are supported for IPsec authentication.

Install CA certificates

The CA certificates required to verify the identity certificates received from computers for IPsec authentication must be installed. After acquiring these CA certificates for your operational environment, use the following steps to install the CA certificates.

1. Open the [Security](#) tab of the EWS.
2. Select the [Certificate Management](#) menu item.
3. In the [CA Certificates](#) area, click the [Choose File](#) button and navigate to the CA certificate.
4. Click [Install](#).

Import identity certificate

An identity certificate with private key that has been generated in the operational environment and signed by an external CA is required. After acquiring this identity certificate with private key for your operational environment, use the following steps to import the identity certificate.

1. Open the [Security](#) tab of the EWS.
2. Select the [Certificate Management](#) menu item.
3. In the [Install Identity Certificate](#) area, perform the following:
 - a. Select [Import Identity Certificate with Private Key](#).
 - b. Click [Choose File](#) and browse to the .pfx file containing the identity certificate with private key.

- c. In the [Certificate Password](#) field, enter the password that was used to protect the private key.
- d. If the private key is to be exportable, check the [Mark private key as exportable](#) check box.
- e. Click [Install](#).

Select new identity certificate for network identity

In order to use the identity certificate imported for IPsec authentication, the identity certificate must be designated for network identity. Use the following steps to use the imported identity certificate for network identity.

1. Open the [Security](#) tab of the EWS.
2. Select the [Certificate Management](#) menu item.
3. In the [Certificates](#) area, perform the following:
 - a. Select the imported identity certificate.
 - b. Click [Use for Network Identity](#).
 - c. Click [Continue](#).

Remove a certificate

Use the following steps to remove a certificate.

1. Open the [Security](#) tab of the EWS.
2. Select the [Certificate Management](#) menu item.
3. Select a certificate from the [Certificates](#) area.
4. Click [Remove...](#)
5. Confirm the removal operation in the warning dialog box that displays.

View the details of a certificate

Use the following steps to view the details of a certificate.

1. Open the [Security](#) tab of the EWS.
2. Select the [Certificate Management](#) menu item.
3. Select a certificate from the [Certificates](#) area.

4. Click [View Details](#).

HP web services

HP Web Services allows a connection to the HP ePrintCenter. In the evaluated configuration, HP Web Services must be disabled.

Use the following steps to disable HP Web Services.

1. Open the [HP Web Services](#) tab of the EWS.
2. If HP Web Services is enabled, click [Disable HP Web Services](#).

HP JetAdvantage

HP JetAdvantage allows users to access applications that extend the capabilities of the HCD. In the evaluated configuration, HP JetAdvantage must be disabled.

Use the following steps to disable HP JetAdvantage.

1. Open the [HP Web Services](#) tab of the EWS.
2. Select the [HP JetAdvantage](#) menu item.
3. In the [HP JetAdvantage Setup](#) area, clear the [Enable HP JetAdvantage](#) check box.
4. Click [Apply](#).

Smart Cloud Print

The Smart Cloud Print feature allows users to access web-based apps that extend the capabilities of the HCD. In the evaluated configuration, Smart Cloud Print must be disabled.

Use the following steps to disable Smart Cloud Print.

1. Open the [HP Web Services](#) tab of the EWS.
2. Select the [Smart Cloud Print](#) menu item.
3. In the [Smart Cloud Print](#) area, clear the [Enable Smart Cloud Print](#) check box.
4. Click [Apply](#).

Wireless station

If the HCD contains integrated wireless functionality, it must be disabled in the evaluated configuration.

Use the following steps to disable wireless station capabilities.

1. Open the [Networking](#) tab of the EWS.
2. Select the [Wireless Station](#) menu item.
3. In the [Wireless Status](#) area, select the [Off](#) radio button.
4. Click [Apply](#).

Enhanced security event logging

In the evaluated configuration, the HCD must be configured to audit document-processing jobs and security-relevant events. Audit records generated for auditable events are forwarded to a syslog server on the network.

The HCD contains two in-memory audit record message queues. One queue is for network audit records (e.g., IPsec records) generated and maintained by the JDI firmware and the other queue is for HCD audit records (e.g., Control Panel Sign In events) generated and maintained by the System firmware. These in-memory message queues are not accessible through any HCD interface and, thus, are protected against unauthorized access.

The network queue holds up to 15 audit records. New audit records are discarded when the network queue becomes full. The HCD queue holds up to 1000 audit records. New audit records replace the oldest audit records when the HCD queue becomes full.

The HCD establishes a persistent connection to the external syslog server. An audit record is generated, added to a queue, immediately sent from the queue to the syslog server, and then removed from the queue once the record has been successfully received by the syslog server.

If the connection is interrupted (e.g. network outage), the HCD will make 5 attempts to reestablish the connection where each attempt lasts for approximately 30 seconds. If all attempts fail, the HCD will repeat the reestablishment process again when a new audit record is added to the HCD queue. Once the connection is reestablished, the records from both queues are immediately sent to the syslog server. If the HCD is powered off, any audit records remaining in the two in-memory messages queues at the time of power-off will be discarded.

The HCD also stores up to 500 audit records on the SED replacing the oldest audit records with new audit records, but these records are not accessible through any external interface in the evaluated configuration and, thus, are protected against unauthorized access.

In the evaluated configuration, the syslog settings must be configured, and enhanced security event logging must be enabled using the following steps.

1. Open the [Networking](#) tab of the EWS.

2. Select the [Other Settings](#) menu item.
3. In the [Enabled Features](#) area, select [LPR](#) from the [Syslog Facility](#) drop-down menu.
4. Click [Apply](#).
5. Select the [TCP/IP Settings](#) menu item.
6. Click the [Advanced](#) tab.
7. In the [Syslog Server](#) field, enter the IPv4 address of the syslog server.
8. From the [Syslog Protocol](#) drop-down menu, select [TCP](#).
9. In the [Syslog Port](#) field, enter 514.
10. In the [Syslog Maximum Messages](#) field, enter 1000.
11. In the [Syslog Priority](#) field, enter 7.
12. Check the [Enhanced security event logging](#) check box.
13. Click [Apply](#).

Device discovery

In the evaluated configuration, all device discovery protocols must be disabled.

Use the following steps to disable device discovery protocols.

1. Open the [Networking](#) tab of the EWS.
2. Select the [Mgmt. Protocols](#) menu item.
3. Select the [Other](#) tab.
4. In the [Enable Device Discovery](#) area, clear all check boxes.
5. Click [Apply](#).

Name resolution

In the evaluated configuration, WINS port and WINS registration must be enabled and LLMNR must be disabled.

Use the following steps to enable WINS port and WINS registration and to disable LLMNR.

1. Open the [Networking](#) tab of the EWS.

2. Select the [Mgmt. Protocols](#) menu item.
3. Select the [Other](#) tab.
4. In the [Name Resolution](#) area, check the [Enable WINS Port](#) and [WINS Registration](#) check boxes, and clear the [LLMNR](#) check box.
5. Click [Apply](#).

WebScan

WebScan is a feature of the EWS that allows users to scan documents from the HCD to their computer using a web browser. In the evaluated configuration, WebScan must be disabled.

Use the following steps to disable WebScan.

1. Open the [Networking](#) tab of the EWS.
2. Select the [Mgmt. Protocols](#) menu item.
3. Select the [Other](#) tab.
4. In the [Other](#) area, clear the [WebScan](#) and [Secure WebScan](#) check boxes.
5. Click [Apply](#).

Management protocols

In the evaluated configuration, the following management protocols must be disabled:

- Telnet
- HP Jetdirect XML Services
- TFTP Configuration File

Use the following steps to disable the management protocols above.

1. Open the [Networking](#) tab of the EWS.
2. Select the [Mgmt. Protocols](#) menu item.
3. Select the [Other](#) tab.
4. In the [Enable Management Protocols](#) area, clear all check boxes.

5. Click [Apply](#).

IPsec

In the evaluated configuration, the HCD must be configured to protect network communications between itself and computers using IPsec. This guide classifies computers into one of the following roles:

- Administrative Computer – Only one network computer can be used as the Administrative Computer. This network computer is used for administration of the HCD.
- Trusted IT Product – These are computers that the HCD connects to. The HCD contacts these computers either to send data to them (e.g., send email alert to the SMTP gateway) or to request information from them (e.g., authenticate a user using LDAP).

IPsec requirements

The following sections describe the IPsec requirements that must be adhered to in the evaluated configuration. Carefully review the IPsec requirements before proceeding to configure IPsec on the HCD and computers.

IKE requirements

In the evaluated configuration, IKEv1 must be used to automatically establish IPsec SAs and to mutually authenticate both peers using X.509v3 certificates or a pre-shared key.

The following table lists the IKEv1 phase 1 parameters supported in the evaluated configuration:

Table 5-3 IKEv1 phase 1 supported parameters

Parameter	Allowed values
Diffie-Hellman Groups	DH-14 (2048 bits), DH-15 (3072 bits), DH-16 (4096 bits), DH-17 (6144 bits), DH-18 (8192 bits)
Encryption algorithms	AES-CBC-128, AES-CBC-256
Authentication algorithms	SHA-256, SHA-384, SHA-512
SA lifetime	85500 seconds

The following table lists the IKEv1 phase 2 parameters supported in the evaluated configuration:

Table 5-4 IKEv1 phase 2 supported parameters

Parameter	Allowed values
Encapsulation type	Transport
Encapsulation protocol	ESP
Encryption Algorithms	AES-CBC-128, AES-CBC-256
Authentication Algorithms	SHA1, SHA-256, SHA-384, SHA-512
SA Lifetime	28800 seconds

IPsec policy requirements for the HCD

The following table lists the IPsec policy requirements for the HCD for network communications with the Administrative Computer:

Table 5-5 IPsec policy requirements for the HCD for network communications with the Administrative Computer

Service	Local Address	Remote Address	Protocol	Local port	Remote port	Action
HTTP	HCD IP address	Administrative Computer IP address	TCP	80	Any	Require authentication and encryption
HTTPS	HCD IP address	Administrative Computer IP address	TCP	443	Any	Require authentication and encryption

The following table lists the IPsec policy requirements for the HCD for communications with the Trusted IT Products:

Table 5-6 IPsec policy requirements for the HCD for network communications with the Trusted IT Products

Service	Local Address	Remote Address	Protocol	Local port	Remote port	Action
Active FTP	HCD IP address	Trusted IT product IP address	TCP	Any	20	Require authentication and encryption
Active FTP	HCD IP address	Trusted IT product IP address	TCP	Any	21	Require authentication and encryption
Passive mode FTP	HCD IP address	Trusted IT product IP address	TCP	Any	Range of ports for data transfers configured on the FTP server.	Require authentication and encryption
NOTE: This service is only required if passive mode FTP is to be used.						
DNS	HCD IP address	Trusted IT product IP address	UDP	Any	53	Require authentication and encryption
DNS	HCD IP address	Trusted IT product IP address	TCP	Any	53	Require authentication and encryption
HTTP	HCD IP address	Trusted IT product IP address	TCP	Any	80	Require authentication and encryption
HTTPS	HCD IP address	Trusted IT product IP address	TCP	Any	443	Require authentication and encryption

Service	Local Address	Remote Address	Protocol	Local port	Remote port	Action
Kerberos	HCD IP address	Trusted IT product IP address	UDP	Any	88	Require authentication and encryption
Kerberos	HCD IP address	Trusted IT product IP address	TCP	Any	88	Require authentication and encryption
LDAP	HCD IP address	Trusted IT product IP address	UDP	Any	Port used by server for LDAP communication	Require authentication and encryption
LDAP	HCD IP address	Trusted IT product IP address	TCP	Any	Port used by server for LDAP communication	Require authentication and encryption
NTP	HCD IP address	Trusted IT product IP address	UDP	1230	Any	Require authentication and encryption
SMTP	HCD IP address	Trusted IT product IP address	UDP	Any	25	Require authentication and encryption
SMTP	HCD IP address	Trusted IT product IP address	TCP	Any	25	Require authentication and encryption
Syslog	HCD IP address	Trusted IT product IP address	TCP	Any	514	Require authentication and encryption
WINS	HCD IP address	Trusted IT product IP address	TCP	Any	139	Require authentication and encryption
WINS	HCD IP address	Trusted IT product IP address	TCP	139	Any	Require authentication and encryption
WINS	HCD IP address	Trusted IT product IP address	TCP	Any	445	Require authentication and encryption
WINS	HCD IP address	Trusted IT product IP address	UDP	11137	Any	Require authentication and encryption
WINS	HCD IP address	Trusted IT product IP address	UDP	138	Any	Require authentication and encryption
WINS	HCD IP address	Trusted IT product IP address	UDP	Any	11137	Require authentication and encryption

The default IPsec rule for the IPsec policy on the HCD must be configured to drop traffic that doesn't match any of the user-defined IPsec rules.

IPsec policy requirements for the computers

The following table lists the IPsec policy requirements for the Administrative Computer for network communications with the scanner:

Table 5-7 IPsec policy requirements for the Administrative Computer for network communications with the HCD

Service	Local Address	Remote Address	Protocol	Local port	Remote port	Action
HTTP	Administrative Computer IP address	HCD IP address	TCP	Any	80	Require authentication and encryption
HTTPS	Administrative Computer IP address	HCD IP address	TCP	Any	443	Require authentication and encryption

The following table lists the IPsec policy requirements for the Trusted IT Product for network communications with the HCD:

Table 5-8 IPsec policy requirements for the trusted IT product for network communications with the HCD

Service	Local Address	Remote Address	Protocol	Local port	Remote port	Action
Active FTP	Trusted IT product IP address	HCD IP address	TCP	20	Any	Require authentication and encryption
Active FTP	Trusted IT product IP address	HCD IP address	TCP	21	Any	Require authentication and encryption
Passive mode FTP	Trusted IT product IP address	HCD IP address	TCP	Range of ports for data transfers configured on the FTP server.	Any	Require authentication and encryption
NOTE: This service is only required if passive mode FTP is to be used.						
DNS	Trusted IT product IP address	HCD IP address	UDP	53	Any	Require authentication and encryption
DNS	Trusted IT product IP address	HCD IP address	TCP	53	Any	Require authentication and encryption
HTTP	Trusted IT product IP address	HCD IP address	TCP	80	Any	Require authentication and encryption
HTTPS	Trusted IT product IP address	HCD IP address	TCP	443	Any	Require authentication and encryption

Service	Local Address	Remote Address	Protocol	Local port	Remote port	Action
Kerberos	Trusted IT product IP address	HCD IP address	UDP	88	Any	Require authentication and encryption
Kerberos	Trusted IT product IP address	HCD IP address	TCP	88	Any	Require authentication and encryption
LDAP	Trusted IT product IP address	HCD IP address	UDP	Port used by server for LDAP communication	Any	Require authentication and encryption
LDAP	Trusted IT product IP address	HCD IP address	TCP	Port used by server for LDAP communication	Any	Require authentication and encryption
NTP	Trusted IT product IP address	HCD IP address	UDP	Any	1230	Require authentication and encryption
SMTP	Trusted IT product IP address	HCD IP address	UDP	25	Any	Require authentication and encryption
SMTP	Trusted IT product IP address	HCD IP address	TCP	25	Any	Require authentication and encryption
Syslog	Trusted IT product IP address	HCD IP address	TCP	514	Any	Require authentication and encryption
WINS	Trusted IT product IP address	HCD IP address	TCP	139	Any	Require authentication and encryption
WINS	Trusted IT product IP address	HCD IP address	TCP	Any	139	Require authentication and encryption
WINS	Trusted IT product IP address	HCD IP address	TCP	445	Any	Require authentication and encryption
WINS	Trusted IT product IP address	HCD IP address	UDP	Any	11137	Require authentication and encryption
WINS	Trusted IT product IP address	HCD IP address	UDP	Any	138	Require authentication and encryption
WINS	Trusted IT product IP address	HCD IP address	UDP	11137	Any	Require authentication and encryption

Configure IPsec on the HCD

This section describes how to configure the IPsec/Firewall policy on the HCD.

Configure address templates

An address template specifies the local and remote addresses for which an IPsec/Firewall rule is to apply. The HCD has a set of built-in address templates and provides the ability to add custom address templates.

In the evaluated configuration, the following custom address templates must be created:

- One custom address template for the Administrative Computer.
- At least one custom address template for Trusted IT Products.

Use the steps in the following sections to create the required address templates for your operational environment to achieve the evaluated configuration. After achieving the evaluated configuration, refer to the following sections to manage address templates.

Create address templates

Use the following steps to create the custom address templates.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. Click [Add Rules...](#)
4. Click [New...](#)
5. In the [Address Template Name](#) field, enter a name.
6. In the [Local Address](#) area, specify the IP address of the HCD.
7. In the [Remote Address](#) area, specify the IP address or addresses of the computers for a specific role (e.g. Administrative Computer).
8. Click [OK](#).

The newly created custom address template appears in the [Address Templates:](#) list.

9. Repeat steps 4 – 8 to create all the custom address templates needed for the computers in your operational environment.
10. Click [Cancel](#) to return to the main [IPsec/Firewall Policy](#) EWS page.

Modify a custom address template

Use the following steps to modify a custom address template.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. In the list of [IPsec/Firewall Rules](#), click on the custom address template to modify.
4. Click [Modify Template...](#)
5. Make the desired modifications to the custom address template's parameters.
6. Click [OK](#).
7. Click [Apply](#).

Delete a custom address template

Use the following steps to delete an address template.

NOTE: A custom address template can only be deleted if it is not being used in an IPsec/Firewall rule.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. Click [Add Rules...](#)
4. In the [Address Templates](#) list, select the custom address template to delete.
5. Click [Delete](#).
6. Click [Yes](#) to confirm the deletion of the custom address template.
7. Click [Cancel](#) to return to the main [IPsec/Firewall Policy](#) EWS page.

Configure service templates

A service template specifies the services for which an IPsec/Firewall rule is to apply. The HCD includes a set of built-in service templates and provides the ability to create custom service templates.

In the evaluated configuration, the following custom service templates must be created:

- One custom service template for the Administrative Computer.
- One custom service template for Trusted IT Products.

The following table lists the services that are allowed and that must be specified in the custom service template for the Administrative Computer:

Table 5-9 Services in custom service template for the Administrative Computer

Service	Protocol	Service Type	Printer/MFP Port	Remote Port
HTTP	TCP	Printer/MFP	80	Any
HTTPS	TCP	Printer/MFP	443	Any

The following table lists the services that are allowed and that must be specified in the custom service template for the Trusted IT Products:

Table 5-10 Services in custom service template for the Trusted IT Products

Service	Protocol	Service Type	Printer/MFP Port	Remote Port
FTP	TCP	Remote	Any	20
FTP	TCP	Remote	Any	21
Passive mode FTP	TCP	Remote	Any	Range of ports for data transfers configured on the FTP server.
NOTE: This service is only required if passive mode FTP is to be used.				
DNS	UDP	Remote	Any	53
DNS	TCP	Remote	Any	53
HTTP	TCP	Remote	Any	80
HTTPS	TCP	Remote	Any	443
Kerberos	TCP	Remote	Any	88
Kerberos	UDP	Remote	Any	88
LDAP	TCP	Remote	Any	Port used by server for LDAP communication
LDAP	UDP	Remote	Any	Port used by server for LDAP communication
NTP	UDP	Printer/MFP	1230	Any
SMTP	TCP	Remote	Any	25
SMTP	UDP	Remote	Any	25
Syslog	TCP	Remote	Any	514
WINS	TCP	Remote	Any	139
WINS	TCP	Remote	139	Any
WINS	TCP	Remote	Any	445
WINS	UDP	Printer/MFP	11137	Any
WINS	UDP	Printer/MFP	138	Any

Service	Protocol	Service Type	Printer/MFP Port	Remote Port
WINS	UDP	Remote	Any	11137

Use the steps in the following sections to create the required service templates for your operational environment to achieve the evaluated configuration. After achieving the evaluated configuration, refer to the following sections below to manage service templates.

Create custom services

The HCD contains a set of pre-defined services. If the HCD is missing a pre-defined service for one or more of the services listed in [Table 5-9, Error! Reference source not found.](#) and [Table 5-10](#), you must create a custom service for each service missing.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. Click [Add Rules...](#)
4. Click [Next](#).
5. Click [New...](#)
6. Click [Manage Services...](#)
7. Scroll through the list of services and identify which services, if any, are missing from [Table 5-9, Error! Reference source not found.](#) and [Table 5-10](#). Note any missing services.
8. If any missing services are identified in step 7, use the following steps to create a custom service for each missing service:
 - a. Click [Manage Custom Services...](#)
 - b. In the [Name](#) field, enter a name.
 - c. From the [Protocol](#) drop-down menu, select a protocol.
 - d. From the [Service Type](#) drop-down menu, select a service type.
 - e. In the [Printer/MFP Port](#) area, select the [Any Port](#) or [Specific Port](#) radio button. If [Specific Port](#) was selected, enter the port number in the [Specific Port](#) field.
 - f. In the [Remote Port](#) area, select the [Any Port](#) or [Specific Port](#) radio button. If [Specific Port](#) was selected, enter the port number in the [Specific Port](#) field.
 - g. Click [Add](#).
 - h. Repeat steps b-g until a custom service has been added for each missing service.

- i. Click [OK](#).
9. Click [Cancel](#).
10. Click [Cancel](#).
11. Click [Cancel](#) to return to the main [IPsec/Firewall Policy](#) EWS page.

Create custom service templates

Use the following steps to create custom service templates.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. Click [Add Rules...](#)
4. Click [Next](#).
5. Click [New...](#)
6. In the [Service Template Name](#) field, enter a name.
7. Click [Manage Services...](#)
8. Scroll through the list of services and select the services that must be specified in the custom service template for the computers of a specific role (e.g. Trusted IT Products).
9. Click [OK](#).
10. Click [OK](#).

The newly created custom service template appears in the [Service Templates](#): list.

11. Repeat steps 5 – 10 until the custom service templates for the Administrative Computer, Network Client Computers, and Trusted IT Products have been created.
12. Click [Cancel](#) to return to the main [IPsec/Firewall Policy](#) EWS page.

Modify a custom service template

Use the following steps to modify a custom service template.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.

3. In the list of [IPsec/Firewall Rules](#), click on the custom service template to modify.
4. Click [Modify Template...](#)
5. Click [Manage Services...](#)
6. Scroll through the list of services and select the services that must be specified in the custom service template for the computers of a specific role (e.g. Trusted IT Products).
7. Click [OK](#) to return to the create service template EWS page.
8. Click [OK](#) to complete the modification of the custom service template.
9. Click [Apply](#) on the main [IPsec/Firewall Policy](#) EWS page.

Delete custom service templates

Use the following steps to delete a custom service template.

NOTE: A custom service template can only be deleted if it is not being used in an IPsec/Firewall rule.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. Click [Add Rules...](#)
4. Click [Next](#).
5. In the [Service Templates](#) list, select the custom service template to delete.
6. Click [Delete](#).
7. Click [Yes](#) to confirm the deletion of the custom service template.
8. Click [Cancel](#) to return to the main [IPsec/Firewall Policy](#) EWS page.

Configure IPsec/Firewall templates

An IPsec/Firewall template specifies how IPsec security associations are to be created. In the evaluated configuration, IKEv1 must be used to create IPsec security associations and to mutually authenticate both peers using X.509v3 certificates or a pre-shared key.

In the evaluated configuration, the following IPsec/Firewall templates must be created:

- At least one IPsec/Firewall template.

Use the steps in the following sections to create the required IPsec/Firewall templates for your operational environment to achieve the evaluated configuration. After achieving the evaluated configuration, refer to the following sections to manage IPsec/Firewall templates.

NOTE: If X.509v3 certificates are to be used for IPsec authentication, the certificates should be installed prior to creating an IPsec/Firewall template that specifies certificates for IPsec authentication. For information on requirements for X.509v3 certificates and steps to install X.509v3 certificates, see the [Certificates](#) section.

Create an IKEv1 IPsec/Firewall template

Use the following steps to create an IPsec/Firewall template for IKEv1.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. Click [Add Rules...](#)
4. Click [Next](#).
5. Click [Next](#).
6. Select the [Require traffic to be protected with an IPsec/Firewall policy](#) radio button.
7. Click [Next](#).
8. Click [New...](#)
9. In the [IPsec Template Name](#) field, enter a name.
10. In the [Authentication Type](#) area, select the [Internet Key Exchange](#) radio button.
11. From the [Version](#) drop-down menu, select [IKEv1](#).
12. From the [Set IKE Defaults](#) drop-down menu, select [Specify Custom Profile](#).
13. Click [Next](#).
14. If X.509v3 certificates are to be used for IPsec authentication, select the [Certificates](#) radio button and then move onto step 16 below.
15. If a pre-shared key is to be used for IPsec authentication:

The HCD supports text-based pre-shared keys and accepts bit-based pre-shared keys.

The text-based keys can be from 22 characters to 128 characters in length and be composed of any combination of upper and lower case letters, numbers, and special characters that include the characters: "!",

"@", "#", "\$", "%", "^", "&", "*", "(", and ")". The text-based keys are conditioned using SHA-1, SHA2-256, or SHA2-512 hash algorithms.

The HCD accepts bit-based pre-shared keys generated outside of the HCD. It allows you to enter a hexadecimal bit-based pre-shared key.

- a. If a text-based pre-shared key is to be used, perform the following:
 - i. Select the [Pre-Shared Key](#) radio button.
 - ii. Check the [Hash](#) check box.
 - iii. If another hash algorithm other than [SHA1](#) is to be used to condition the test-based key, select either the [SHA-256](#) or [SHA-512](#) radio button.
 - iv. In the field, enter a text-based key that is at least 22 characters long.
- b. If a bit-based pre-shared key is to be used, perform the following:
 - i. Select the [Pre-Shared Key](#) radio button.
 - ii. Select the [Hex](#) radio button.
 - iii. In the field, enter a bit-based key in hexadecimal form that is at least 22 characters long.

16. Click [Next](#).

17. In the [Diffie-Hellman Groups](#): area, click [Edit](#).

18. Check the check box corresponding to each DH group to be used.

19. Click [OK](#).

20. In the [Encryption](#): area, check the check box corresponding to each encryption algorithm to be used.

NOTE: The following encryption algorithms are allowed in the evaluated configuration: AES-CBC-128 and AES-CBC-256. These algorithms are displayed in the EWS as [AES-128](#) and [AES-256](#) respectively.

21. In the [Authentication](#): area, check the check box corresponding to each encryption algorithm to be used.

22. In the [SA Lifetime](#) field, enter 85500.

23. Click [Next](#).

24. In the [Encapsulation Type](#): area, select the [Transport](#) radio button.

25. In the [Cryptographic Parameters](#): area, check the [ESP](#) check box.

26. In the [Encryption](#): section for IPsec ESP, check the check box corresponding to each encryption algorithm to be used.

NOTE: The following encryption algorithms are allowed in the evaluated configuration: AES-CBC-128 and AES-CBC-256. These algorithms are displayed in the EWS as [AES-128](#) and [AES-256](#) respectively.

27. In the [Authentication](#): section for IPsec ESP, check the check box corresponding to each encryption algorithm to be used.

28. In the [Security Associations](#): area, perform the following:

- a. In the [SA Lifetime Seconds](#) field, enter 28800.
- b. In the [SA Lifetime KB](#) field, enter 0.

29. Click [Next](#).

The newly created IPsec/Firewall template appears in the [IPsec/Firewall Templates](#): list.

30. Click [Cancel](#) to return to the main [IPsec/Firewall Policy](#) EWS page.

Modify an IKEv1 IPsec/Firewall template

Use the following steps to modify an IPsec/Firewall template.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. In the list of [IPsec/Firewall Rules](#), click on the IPsec/Firewall template under the [Action](#) column to modify.
4. Click [Modify Template...](#)
5. In the [Authentication Type](#) area, select the [Internet Key Exchange](#) radio button.
6. From the [Version](#) drop-down menu, select [IKEv1](#).
7. From the [Set IKE Defaults](#) drop-down menu, select [Specify Custom Profile](#).
8. Click [Next](#).
9. If X.509v3 certificates are to be used for IPsec authentication, select the [Certificates](#) radio button and then move onto step 11 below.
10. If a pre-shared key is to be used for IPsec authentication:

The HCD supports text-based pre-shared keys and accepts bit-based pre-shared keys.

The text-based keys can be from 22 characters to 128 characters in length and be composed of any combination of upper and lower case letters, numbers, and special characters that include the characters: "!", "@", "#", "\$", "%", "^", "&", "*", "(", and ")". The text-based keys are conditioned using SHA-1, SHA2-256, or SHA2-512 hash algorithms.

The HCD accepts bit-based pre-shared keys generated outside of the HCD. It allows you to enter a hexadecimal bit-based pre-shared key.

- a. If a text-based pre-shared key is to be used, perform the following:
 - i. Select the [Pre-Shared Key](#) radio button.
 - ii. Check the [Hash](#) check box.
 - iii. If another hash algorithm other than [SHA1](#) is to be used to condition the text-based key, select either the [SHA-256](#) or [SHA-512](#) radio button.
 - iv. In the field, enter a text-based key that is at least 22 characters long.
- b. If a bit-based pre-shared key is to be used, perform the following:
 - i. Select the [Pre-Shared Key](#) radio button.
 - ii. Select the [Hex](#) radio button.
 - iii. In the field, enter a bit-based key in hexadecimal form that is at least 22 characters long.

11. Click [Next](#).

12. In the [Diffie-Hellman Groups](#): area, click [Edit](#).

13. Check the check box corresponding to each DH group to be used.

14. Click [OK](#).

15. In the [Encryption](#): area, check the check box corresponding to each encryption algorithm to be used.

NOTE: The following encryption algorithms are allowed in the evaluated configuration: AES-CBC-128 and AES-CBC-256. These algorithms are displayed in the EWS as [AES-128](#) and [AES-256](#) respectively.

16. In the [Authentication](#): area, check the check box corresponding to each encryption algorithm to be used.

17. In the [SA Lifetime](#) field, enter 85500.

18. Click [Next](#).

19. In the [Encapsulation Type](#): area, select the [Transport](#) radio button.

20. In the [Cryptographic Parameters:](#) area, check the [ESP](#) check box.
21. In the [Encryption:](#) section for IPsec ESP, check the check box corresponding to each encryption algorithm to be used.

NOTE: The following encryption algorithms are allowed in the evaluated configuration: AES-CBC-128 and AES-CBC-256. These algorithms are displayed in the EWS as [AES-128](#) and [AES-256](#) respectively.

22. In the [Authentication:](#) section for IPsec ESP, check the check box corresponding to each encryption algorithm to be used.
23. In the [Security Associations:](#) area, perform the following:
 - a. In the [SA Lifetime Seconds](#) field, enter 28800.
 - b. In the [SA Lifetime KB](#) field, enter 0.
24. Click [Finish](#).

Delete an IPsec/Firewall template

Use the following steps to delete an IPsec/Firewall template.

NOTE: An IPsec/Firewall template can only be deleted if it is not being used in an IPsec/Firewall rule.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. Click [Add Rules...](#)
4. Click [Next](#).
5. Click [Next](#).
6. Select the [Require traffic to be protected with an IPsec/Firewall policy](#) radio button.
7. Click [Next](#).
8. In the [IPsec/Firewall Templates:](#) list, select the IPsec/Firewall template to delete.
9. Click [Delete](#).
10. Click [Yes](#) to confirm the deletion of the IPsec/Firewall template.
11. Click [Cancel](#) to return to the main [IPsec/Firewall Policy](#) EWS page.

Configure IPsec/Firewall rules

A rule is comprised of an address template, service template, and an IPsec/Firewall template.

In the evaluated configuration, the following rules must be created:

- One rule for the Administrative Computer
- At least one rule for the Network Client Computers
- At least one rule for the Trusted IT Products

Use the steps in the following sections to create the required IPsec/Firewall rules for your operational environment to achieve the evaluated configuration. After achieving the evaluated configuration, refer to the following sections below to manage IPsec/Firewall rules.

Create IPsec/Firewall rules

Use the following steps to create the rules.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. Click [Add Rules...](#)
4. In the [Address Templates](#) list, select the custom address template that corresponds to the computer(s) of a specific role (e.g. Administrative Computer).
5. Click [Next](#).
6. In the [Service Templates](#) list, select the custom service template that corresponds to the computer(s) of a specific role (e.g. Administrative Computer).
7. Click [Next](#).
8. Select the [Require traffic to be protected with an IPsec/Firewall policy](#) radio button.
9. Click [Next](#).
10. From the [IPsec/Firewall Templates](#) list, select an IPsec/Firewall template created in the [Step 3 – Create the IPsec/Firewall templates](#) section.
11. Click [Next](#).

The newly created IPsec/Firewall rule appears in the [IPsec/Firewall Rules](#): list.

12. Click [Create Another Rule](#).

13. Repeat steps 4 -12 until all IPsec/Firewall rules for the computers in your operational environment have been created.
14. Click [Finish](#).
15. For the [Would you like to enable the policy now?](#) question, select the [Yes](#) radio button.
16. For the [Would you like to enable the Failsafe Option?](#) question, select the [Yes](#) radio button.

NOTE: The [Failsafe Option](#) will be disabled in [Disable failsafe option in the IPsec/Firewall policy on the HCD](#) section after the IPsec connections have been tested.

17. Click [OK](#).

Delete IPsec/Firewall rules

Use the following steps to delete one or more rules.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. Click [Delete Rules...](#)
4. Check the check boxes corresponding to the rule(s) to delete.
5. Click [OK](#).

Enable IPsec/Firewall rules

Use the following steps to enable one or more rules.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. In the [IPsec/Firewall Rules](#) area, check the check box under the [Enable](#) column corresponding to each rule to enable.
4. Click [Apply](#).

Disable IPsec/Firewall rules

Use the following steps to disable one or more rules.

1. Open the [Networking](#) tab of the EWS.

2. Select the [IPsec/Firewall](#) menu item.
3. In the [IPsec/Firewall Rules](#) area, clear the check box under the [Enable](#) column corresponding to each rule to disable.
4. Click [Apply](#).

Modify the order of IPsec/Firewall rules in the rules list

Use the following steps to modify the order of two or more rules in the rules list.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. In the [IPsec/Firewall Rules](#) area, under the [Rule](#) column, modify the index numbers to modify the order of rules.
4. Click [Apply](#).

Set the action for the default IPsec/Firewall rule to drop traffic

When incoming or outgoing traffic does not match any of the user-defined IPsec/Firewall rules, the traffic is processed by the default IPsec/Firewall rule. In the evaluated configuration, the action-on-match for the default IPsec/Firewall rule must be set to drop traffic.

Use the following steps to set the action-on-match for the default IPsec/Firewall rule to drop traffic.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. In the [IPsec/Firewall Rules](#) list, if the action [Allow](#) is set for the [Default Rule](#), select [Drop](#) from the drop-down menu.
4. Click [Apply](#).

Configure broadcast and multicast bypass options

In the evaluated configuration, the traffic for DHCPv4/BOOTP, DHCPv6, ICMPv4, and ICMPv6 services must be allowed to bypass the IPsec/Firewall Policy. The traffic for all other services must be processed using the rules in the IPsec/Firewall policy.

Use the following steps to configure the broadcast and multicast bypass options.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.

3. Click [Advanced](#).
4. In the [Broadcast and Multicast Bypass](#) area, check the check boxes corresponding to DHCPv4/BOOTP, DHCPv6, ICMPv4, and ICMPv6 and uncheck the check boxes corresponding to all other services.
5. Click [Apply](#).

Configure the IPsec on the computers

You must configure IPsec on the computers adhering to the requirements for the evaluated configuration. For information on the IPsec requirements for the evaluated configuration, see the [IPsec requirements](#) section.

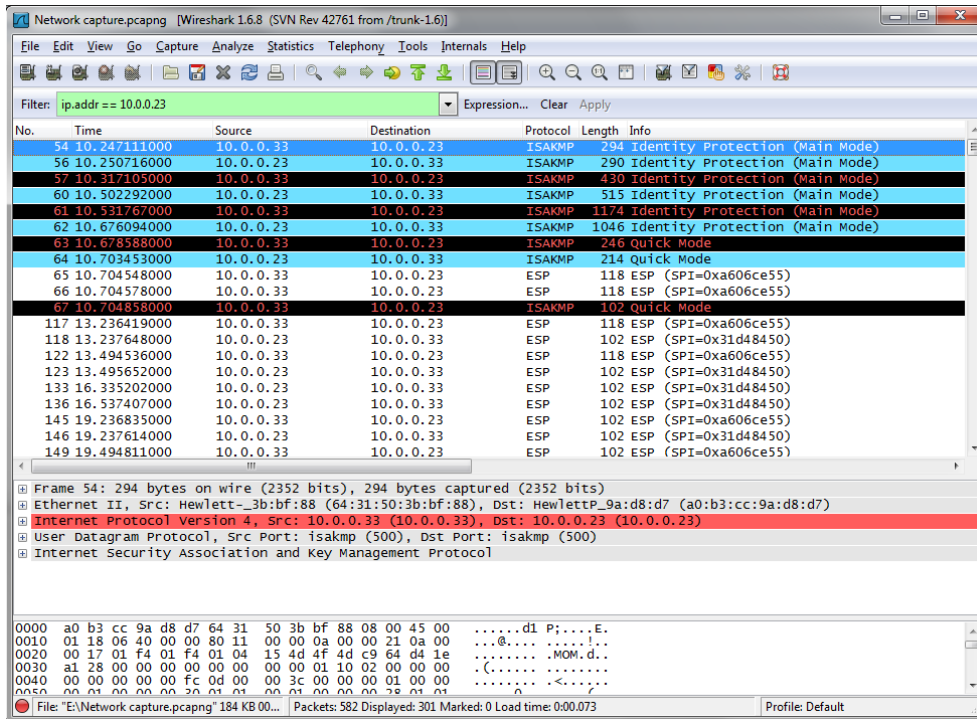
Test the IPsec connections

Use the following steps to verify the IPsec connection between the Administrative Computer and HCD using a packet analyzer tool. The steps below were written specifically with the Wireshark tool as the packet analyzer tool, but any tool with the required capability can be used.

1. On the Administrative Computer, launch Wireshark.
2. Start a network capture to analyze network packets transmitted between the Administrative Computer and the HCD.
3. Launch a web browser and open the EWS on the HCD.

In the packet capturing tool, you should see ISAKMP/IKE packets negotiating the parameters and the dynamic keys followed by IPsec ESP packets securing the EWS connection.

Example:



If your web browser fails to open the EWS, disable IPsec on the Administrative Computer. Then, verify the IPsec configuration on both the Administrative Computer and HCD. After verifying the IPsec configuration, re-enable IPsec on the Administrative Computer. Try to open the EWS again.

Disable failsafe option in the IPsec/Firewall policy on the HCD

The IPsec/Firewall failsafe option ensures HTTPS remains accessible even if it is blocked by the IPsec/Firewall policy. This allows you to test the policy without inadvertently locking yourself out of the HCD. In the evaluated configuration, after you have validated the IPsec/Firewall policy and verified IPsec connections between the HCD and computers are working as intended, you must disable the failsafe option.

Use the following steps to disable the failsafe option.

1. Open the [Networking](#) tab of the EWS.
2. Select the [IPsec/Firewall](#) menu item.
3. Click [Advanced](#).
4. In the [Failsafe](#) area, clear the [Enable Failsafe Option](#) check box.
5. Click [Apply](#).

6 Operational guidance

- How to report a security vulnerability
- Operational modes of the HCD
- Whitelisting
- User authentication
- Back up and restore HCD data
- Check version of installed TOE firmware
- Update the TOE firmware
- Manage the HCD security

How to report a security vulnerability

The HP Product Security Response Team (PSRT) is a dedicated, global team that manages the receipt, investigation, and public reporting of security vulnerability information related to HP supported software/firmware products. If you have encountered a potential security vulnerability, you can report the vulnerability to the HP PSRT.

Use the following steps to report a potential security vulnerability to the HPSRT.

1. Open a web browser and go to the following address:

<https://ssl.www8.hp.com/h41268/live/index.aspx?qid=25434>

2. Fill out all the required fields and then click [Submit](#).

Operational modes of the HCD

The following table lists the HCD modes of operation:

Table 6-1 HCD modes of operation

Operational mode	Description
Ready	The HCD is powered on and fully operational.
Sleep mode	<p>In this mode, the HCD provides:</p> <ul style="list-style-type: none">• IPsec/Firewall capabilities to restrict access to the HCD's functions over the network.• IPsec trusted channel functions to secure all network data exchanges with computers.• Enhanced security event logging capabilities to audit security-relevant events. <p>The HCD must first exit sleep mode before users can access any available functions from the control panel. The HCD enters sleep mode when a predefined period of user inactivity (sleep delay) is reached, or per a sleep schedule. The user can also press the sleep button on the control panel to put the HCD in sleep mode. The HCD exits sleep mode when certain events occur, or per a wake schedule.</p>
Powered off	In this mode, the HCD doesn't accept user input through any of its interfaces. Any user with physical access to the HCD can power it on.
Boot up	During system initialization, the user can interact with the control panel to enter the preboot menu. To access any diagnostic functions in the preboot menu, the user must sign in with the preboot menu administrator password. Besides

Operational mode	Description
	the diagnostic functions available in the preboot menu, there are no other functions the user can access through the control panel prior to system initialization completing.
Error condition	Depending on the error condition, the HCD may or may not accept user input through its interfaces. For most error conditions, the HCD displays a message and an animation on the control panel that describes the error and corrective action to take. For additional information on the actions to take for various error conditions, see the <i>Solve problems</i> chapter in the user guide for your HCD. The help screens on the control panel can also be used to diagnose different errors related to normal device operations.

Whitelisting

Whitelisting uses code-signing to make sure that only authentic HP code and third-party solution files are loaded. If validation of a firmware file fails, the HCD will not load the HP code / third-party solution file, will reboot, and will display the preboot menu options on the control panel, thus preventing a potential malware exploit from executing.

Digital signatures for HP code and third-party developed solutions residing on the HCD are validated using a SHA-256 hashing algorithm for HP firmware and a SHA1/-256 hash for third-party firmware.

NOTE: In the evaluated configuration, third-party solutions must not be installed.

Verify the presence of the Whitelisting feature

Use the following steps to verify the presence of the Whitelisting feature.

1. Open the [Information](#) tab of the EWS.
2. Select the [Configuration Page](#) menu item.
3. In the [Security](#) area, verify the status of Whitelisting is Present.

33.05.1X Whitelisting error codes for security events

When a failure occurs in the validation of firmware files digital signature or the firmware file certificate, a 33.05.1X Whitelisting error code is generated to report the security event.

EWS event log entries

The following table describes the Whitelisting error codes and solution to resolve the issue.

Table 6-2 EWS event log entries for 33.05.1X Whitelisting errors and solutions

Event log error codes and messages	Cause	Recommended action
33.05.10 Firmware verification Error 33.05.11 Firmware verification Error 33.05.12 Firmware verification Error	A previous system boot cycle failed to cryptographically validate a firmware file's digital signature.	No action is required.

Accessing the EWS event log page

Use the following steps to access the HCD event log.

1. Open the [Information](#) tab of the EWS.
2. Select the [Event Log Page](#) menu item.

Control panel messages

The following table describes the Whitelisting error codes and solution to resolve the issue.

Table 6-3 Control panel error codes and messages for 33.05.1X Whitelisting errors and solutions

Control panel error codes and messages	Cause	Recommended action
33.05.10 Security alert 33.05.11 Security alert 33.05.12 Security alert	An error occurred with the firmware file's digital signature, or an error occurred with the certificate used to validate the firmware file digital signature.	<p>Perform a partial clean. For steps to perform a partial clean, see the Perform a partial clean section.</p> <p>If the HCD does not reboot to a ready state, reinstall the CC certified TOE firmware from the preboot menu using a USB thumb-drive. For steps to reinstall the CC certified TOE firmware from the preboot menu using a USB thumb-drive, see the Reinstall CC certified TOE firmware from preboot menu section.</p> <p>NOTE: Performing a partial clean is required before reinstalling the CC certified TOE firmware.</p>

Perform a partial clean

A partial clean erases all partitions and data on the self-encrypting drive (SED) except for the firmware repository, which stores a backup copy of the firmware file. This allows you to reformat without having to download new firmware to get the HCD to a bootable state.

Use the following steps to perform a partial clean.

1. Open the preboot menu.

NOTE: For steps to open the preboot menu, see the [How to access the preboot menu](#) section.

2. Sign in using the preboot menu administrator password.
3. Select the [Administrator](#) menu item.
4. Select the [Startup Options](#) menu item.
5. Select the [Partial Clean](#) menu item.
6. Select [OK](#).
7. Navigate back to the main preboot menu.
8. Select [Continue](#) to resume boot.

Reinstall CC certified TOE firmware from preboot menu

Use the following steps to reinstall the CC certified TOE firmware from the preboot menu.

1. Copy the firmware bundle file obtained from the HP SW Depot onto a USB thumb-drive.

NOTE: The USB thumb-drive must be formatted using FAT32.

2. Open the preboot menu.

NOTE: For steps to open the preboot menu, see the [How to access the preboot menu](#) section.

3. Sign in using the preboot menu administrator password.
4. Select the [Administrator](#) menu item.
5. Select the [Download](#) menu item.
6. Select the [USB Thumb-drive](#) menu item.
7. Select the firmware bundle file (file extension: .bdl).
8. Wait for the firmware to be transferred to the HCD.
9. When “Complete” is displayed, navigate back to the main preboot menu.
10. Select [Continue](#) to resume boot.

User authentication

EWS and control panel authentication

The evaluated configuration accommodates three different sign-in methods that can be used to access the EWS and control panel home screen and all device functions on the HCD. The following table describes these three sign-in methods:

Table 6-4 Sign-in methods for accessing the EWS and control panel home screen

Sign-in method	Description
Local Device	<p>The Local Device sign-in method supports three types of access:</p> <ul style="list-style-type: none">• User Access Code• Administrative Access Code• Service Access Code <p>In the evaluated configuration, only Administrative Access Code is supported for control panel sign-in and EWS sign-in.</p> <p>When using the Administrator Access Code access type to sign-in, you must enter the local administrator password (a.k.a. device administrator password).</p>
LDAP	<p>The LDAP sign-in method requires a user name and password. When using the LDAP sign-in method to sign-in, you must enter the user name and password defined for your user account defined on the LDAP server.</p>
Windows	<p>The Windows sign-in method requires a user name, a password, and a domain name. When using the Windows sign-in method to sign-in, you must enter the user name and password defined for your user account in the Windows domain.</p>

Local Device sign-in method is always enabled. In the evaluated configuration, at least one of the following network sign-in methods must be configured and enabled:

- LDAP sign-in method
- Windows sign-in method

Control panel sign-in using the Local Device sign-in method

1. On the HCD control panel, select the [Sign In](#) button.
2. If [Local Device](#) is not already selected from the [Sign In](#) drop-down menu, select it from the drop-down menu.
3. From the [Access Type](#) drop-down menu, select [Administrator Access Code](#).

4. In the [Access Code](#) field, enter the local administrator password (a.k.a. device administrator password).
5. Select the [Sign In](#) button.

EWS sign-in using the Local Device sign-method

1. On the Administrative Computer, open the EWS on the HCD.

The EWS opens and the [Sign In](#) page appears.

NOTE: For steps to open the EWS, see the [How to access the EWS](#) section.

2. If [Local Device](#) is not already selected, select it from the [Sign-In Method](#) drop-down menu.
3. [Administrator](#) is the [Local Device Account](#) selected by default. In the [Password](#) field, enter the local administrator password (a.k.a. device administrator password).
4. Click [Sign In](#).

Control panel sign-in using the LDAP sign-in method

1. On the HCD control panel, select the [Sign In](#) button.
2. If [LDAP](#) is not already selected from the [Sign In](#) drop-down menu, select it from the drop-down menu.
3. In the [User Name](#) field, enter a user name.
4. In the [Password](#) field, enter a password.
5. Select the [Sign In](#) button.

EWS sign-in using the LDAP sign-in method

1. On the Administrative Computer, open the EWS on the HCD.

The EWS opens and the [Sign In](#) page appears.

NOTE: For steps to open the EWS, see the [How to access the EWS](#) section.

2. If [LDAP](#) is not already selected, select it from the [Sign-In Method](#) drop-down menu.
3. In the [User Name](#) field, enter a user name.
4. In the [Password](#) field, enter a password.
5. Click [Sign In](#).

Control panel sign-in using the Windows sign-in method

1. On the HCD control panel, select the [Sign In](#) button.
2. If [Windows](#) is not already selected from the [Sign In](#) drop-down menu, select it from the drop-down menu.
3. From the [Domain](#) drop-down menu, select a domain.
4. In the [User Name](#) field, enter a user name.
5. In the [Password](#) field, enter a password.
6. Select the [Sign In](#) button.

EWS sign-in using the Windows sign-in method

1. On the Administrative Computer, open the EWS on the HCD.

The EWS opens and the [Sign In](#) page appears.

NOTE: For steps to open the EWS, see the [How to access the EWS](#) section.

2. If [Windows](#) is not already selected, select it from the [Sign-In Method](#) drop-down menu.
3. From the [Windows Domain](#) drop-down menu, select a domain.
4. In the [User Name](#) field, enter a user name.
5. In the [Password](#) field, enter a password.
6. Click [Sign In](#).

REST Web Services authentication

REST Web Services can be used to perform HCD management in the evaluated configuration. For authenticating to the HCD's REST Web Services interface, the HCD supports the following authentication methods:

- HTTP basic access authentication
- OAuth 2.0

In the evaluated configuration, only HTTP basic authentication is supported. Use of OAuth 2.0 is disallowed.

For authenticating to the HCD's REST Web Services interface using the HTTP basic access authentication method, the HCD supports the following sign-in methods:

[Table 6-5](#) Sign-in methods for authenticating to the HCD's REST Web Services interface using HTTP basic access authentication

Sign-in method	Description
Local Device	<p>The Local Device sign-in method supports three types of access:</p> <ul style="list-style-type: none"> • User Access Code • Administrative Access Code • Service Access Code <p>Only Administrative Access Code is supported for authenticating to the HCD's REST Web Services interface,</p> <p>When authenticating to the HCD's REST Web Services interface, the user name "admin" and the local administrator password (a.k.a. device administrator password) configured on the HCD must be specified in the HTTP Authorization request header in the following format: admin:<local administrator password></p> <p>admin:<local administrator password> must be Base64 encoded.</p>
Windows	<p>The Windows sign-in method requires a user name, a password, and a domain name.</p> <p>When authenticating to the HCD's REST Web Services interface, the domain, user name, and password must be specified in the HTTP Authorization request header in the following format: <domain name>\<user name>:<password></p> <p><domain name>\<user name>:<password> must be Base64 encoded.</p> <p>Additionally, the Windows user account corresponding to the user name and password must be granted the Device Administrator permission set on the HCD.</p>

Back up and restore HCD data

The [Back up and Restore](#) feature allows you to back up data on the HCD or to restore data files from a previous backup.

Perform a backup

1. Open the [General](#) tab of the EWS.
2. Select the [Back up and Restore](#) menu item.
3. In the [Back up/Restore](#) area, select the [Backup](#) radio button.
4. In the [UNC Folder Path](#) field, enter the UNC folder path to store the backup file. Do not include the file name in the [UNC Folder Path](#) field.
5. In the [Encryption Key](#) field, enter an encryption key.

NOTE: The encryption key will be used to encrypt the backup file.

NOTE: The encryption key must contain between 1 and 255 standard characters. For maximum security, do not use a key that spells a word, and include a mixture of keyboard symbols, numbers, and uppercase and lowercase letters

6. In the [Windows Domain](#), [User Name](#), and [Password](#) fields, enter the authentication credentials needed to access the UNC folder path.
7. Click [OK](#).

A progress bar displays, and then a message displays stating that the process is complete.

Restore data

If you need to restore data to the HCD from a backup file, you must follow these steps:

1. Remove the HCD from the production network.
2. Use the backup file to restore data to the HCD.
3. Use the information in this guide to achieve the evaluated configuration.
4. Place the HCD back onto the production network.

Use the following steps to restore data to the HCD from a backup file.

1. Open the [General](#) tab of the EWS.
2. Select the [Back up and Restore](#) menu item.
3. In the [Back up/Restore](#) area, select the [Restore](#) radio button.
4. In the [Backup file to restore](#) field, enter the UNC folder path to the backup file. Include the file name in the [Backup file to restore](#) field.
5. In the [Encryption Key](#) field, enter the encryption key that was used encrypt the backup file.
6. In the [Windows Domain](#), [User Name](#), and [Password](#) fields, enter the authentication credentials needed to access the UNC folder path.
7. Click [OK](#).
8. Click [Restore](#).

A progress bar displays, and then a message displays stating that the process is complete.

Check version of installed TOE firmware



Use the EWS

Use the following steps to check the installed System firmware and JDI firmware versions.

1. Open the [Information](#) tab of the EWS.
2. Select the [Configuration Page](#) menu item.
3. In [Device Information](#) area, to check the installed System firmware version, locate [Firmware Revision:](#).
4. Open the [Networking](#) tab of the EWS.
5. Select the [Configuration Page](#) menu item.
6. In the [General Information](#) area, to check the installed JDI firmware version, locate [Firmware Version:](#).

Use the control panel

Use the following steps to check the installed System firmware and JDI firmware versions.

1. On the control panel home screen, open the [Reports](#) application.
2. Open the [Configuration/Status Pages](#) menu item.
3. Select the [Configuration Page](#) check box.
4. Touch the  or  icons to either view or print the configuration page, respectively.
5. In the [Configuration Page](#), under the [Device Information](#) area, locate [Firmware Revision:](#) to check the System firmware version.
6. In the [Embedded HP Jetdirect](#) page, under the [General Information](#) area, locate [Firmware Version:](#) to check the JDI firmware version.

Update the TOE firmware

Use the following steps to update the TOE firmware.

1. Open the [General](#) tab of the EWS.
2. Select the [Firmware Upgrade](#) menu item.
3. Clear the [Automatic Back up/Restore](#) check box.

NOTE: Clearing the [Automatic Back up/Restore](#) check box will delete any previously saved automatic backup files of HCD settings.

4. Click [Save](#).
5. In the [Install New Firmware](#) area, click [Choose File](#) and browse to the product firmware bundle.
6. Click [Install](#).
7. If the TOE firmware version is older than the current TOE firmware version, a [Confirmation Page](#) will be appear prompting you to confirm “rolling back” to an older version of firmware. Click [Rollback](#).

The HCD will turn itself off and then back on. On boot, the HCD will update its firmware.

Manage the HCD security

The following table provides a quick index to the operational guidance in this guide for each management function claimed in FMT_SMF.1 in the Security Target:

Table 6-6 Operational guidance index for management functions claimed in FMT_SMF.1

Management function	SFR	Section in this guide providing the operational guidance
Management of Device Administrator password	FMT_MTD.1	5 Configure the HCD > System and network settings (excluding IPsec) > Local administrator password
Management of account lockout policy	FMT_MTD.1	5 Configure the HCD > System and network settings (excluding IPsec) > Account policy
Management of minimum length password settings	FMT_MTD.1	5 Configure the HCD > System and network settings (excluding IPsec) > Account policy
Management of session inactivity timeouts	FMT_MTD.1	5 Configure the HCD > System and network settings (excluding IPsec) settings > Control panel inactivity timeout; 5 Configure the HCD > System and network settings (excluding IPsec) settings > EWS session timeout
Management of permission set associations	FMT_MTD.1	5 Configure the HCD > System settings > Access control > Set the default permission set for network users/groups; 5 Configure the HCD > System settings > Access control > Add specific network user or group to permission set relationships

Management function	SFR	Section in this guide providing the operational guidance
Management of IPsec pre-shared keys	FMT_MTD.1	<p>5 Configure the HCD > IPsec > Configure IPsec/Firewall templates > Create an IKEv1 IPsec/Firewall template;</p> <p>5 Configure the HCD > IPsec > Configure IPsec/Firewall templates > Modify an IKEv1 IPsec/Firewall template</p>
Management of CA and identity certificates for IPsec authentication	FMT_MTD.1	5 Configure the HCD > System and network settings (excluding IPsec) settings > Certificates
Management of NTS configuration data	FMT_MTD.1	5 Configure the HCD > System and network settings (excluding IPsec) > Date and time > Network time server
Management of permission set permissions	FMT_MSA.1	<p>5 Configure the HCD > System and network settings (excluding IPsec) > Access control > Configure permissions for control panel realm;</p> <p>5 Configure the HCD > System and network settings (excluding IPsec) > Access control > Configure permissions for EWS realm;</p> <p>5 Configure the HCD > System and network settings (excluding IPsec) > Access control > Configure permission sets > Configure custom permission sets > Add a custom permission set;</p> <p>5 Configure the HCD > System and network settings (excluding IPsec) > Access control > Configure permission sets > Configure custom permission sets > Delete a custom permission set</p>
Management of Internal and External authentication mechanisms	FMT_MOF.1	<p>5 Configure the HCD > System and network settings (excluding IPsec) > Access control > Configure and enable LDAP sign-in method;</p> <p>5 Configure the HCD > System and network settings (excluding IPsec) > Access control > Configure and enable Windows sign-in method</p>
Management of "Allow users to choose alternate sign-in methods at the product control panel" function	FMT_MOF.1	5 Configure the HCD > System and network settings (excluding IPsec) > Access control > Lock control panel applications to sign-in method
Management of enhanced security event logging	FMT_MOF.1	5 Configure the HCD > System and network settings (excluding IPsec) > Enhanced security event logging
Management of image overwrite option in "Managing Temporary Job Files"	FMT_MOF.1	5 Configure the HCD > System and network settings (excluding IPsec) > Manage temporary job files

7 Enhanced security event logging messages

- Enhanced security event logging
- Syslog message format
- Syslog messages

Enhanced security event logging

In the evaluated configuration, the HCD must be configured to audit document-processing functions and security-relevant events. The syslog messages generated for these auditable events are forwarded to the configured syslog server for long-term storage and audit review. The following sections describe the format of syslog messages, variables contained within syslog messages, and the syslog messages for auditable events specified in FAU_GEN.1 in the Security Target.

Syslog message format

The following is the format of syslog messages:

```
<##> scanner: <event summary>; <event details>
```

The following table describes the syslog message format:

Table 7-1 Syslog message format for enhanced security event logging

Item	Description
<##>	Encoded syslog severity/facility.
scanner	Indicates the type of device.
:	Separates HCD from the remaining parts of the message.
<event summary>	Summary of the event.
;	Separates <event summary> from the remaining parts of the message.
<event details>	Details of the event. Event details are key-value pairs separated by white space.

The following is an example syslog message:

```
<134> scanner: Device Administrator Password modified; time="2015-Apr-09 11:54 AM (UTC-07:00)" user="admin" source_IP="10.0.0.7" outcome=success interface=Wired
```

Variables within syslog messages

The following table lists variables contained in all syslog messages:

Table 7-2 Variables within syslog messages for enhanced security event logging

Item	Description
<timestamp>	Date and time of the event.

Item	Description
	<p>The format of <code><timestamp></code> is as follows: <code>YYYY-MMM-DD HH:MM PE (UTCTZD)</code></p> <p>Where:</p> <ul style="list-style-type: none"> <code>YYYY</code> = four-digit year <code>MMM</code> = three-letter abbreviation of the month <code>DD</code> = two-digit day of the month <code>HH</code> = two-digit hour (00 through 12) <code>MM</code> = two-digit minute (00 through 59) <code>PE</code> = two-letter of the 12-hour period (AM or PM) <code>TZD</code> = UTC offset (+HH:MM or -HH:MM) <p>Example: "2016-Mar-26 09:10 AM (UTC -07:00)"</p> <p>NOTE: Modifying the date and time format on the device doesn't modify the format of <code><timestamp></code>.</p>
<code><user></code>	User who caused the event.
<code><client computer IP address></code>	IP address of the computer from which the request that caused the event was received.
<code><sign-in method></code>	<p>Sign-in method that was used to perform authentication. Possible values are:</p> <ul style="list-style-type: none"> • <code>local_device</code> • <code>windows</code> • <code>ldap</code>

Syslog messages

Enhanced security event logging

Message:	<code>scanner: CCC logging started; time="<timestamp>" outcome=success</code>
Interface(s):	N/A
Syslog severity:	Notice
Explanation:	Enhanced security event logging was started during system boot up.

System time

Message:	scanner: System time changed; time="<timestamp>" value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The system time was modified.
Variables:	<value> - New system time. <old value> - Old system time.
Message:	scanner: System time changed; time="<timestamp>" value="<value>" old_value="<old value>" user="<user>" outcome=success
Interface(s):	Control panel
Syslog severity:	Informational
Explanation:	The system time was changed.
Variables:	<value> - New system time. <old value> - Old system time.
Message:	scanner: System time changed; time="<timestamp>" value="<value>" old_value="<old vlaue>" source_IP="<NTP IP address>" outcome=success
Interface(s):	NTP
Syslog severity:	Informational
Explanation:	The system time was synchronized with the Network Time Protocol server.
Variables:	<value> - New system time. <old value> - Old system time. <NTP IP address> - IP address or host name of the Network Time Protocol server.

User authentication

Control panel sign-in

Message:	scanner: Control Panel Sign In Authentication; time="<timestamp>" sign-in_method="<sign-in method>" user="<user>" outcome=failure
-----------------	--

Interface(s):	Control panel
Syslog severity:	Warning
Explanation:	A user attempted to sign into the control panel. Authentication of the user failed.
Variables:	<user> - Attempted user identity.

EWS sign-in

Message:	scanner: EWS Sign In Authentication; time="<timestamp>" sign-in_method=<sign-in method> user="<user>" outcome=failure
Interface(s):	EWS
Syslog severity:	Warning
Explanation:	A user attempted to sign into the EWS. Authentication of the user failed.
Variables:	<user> - Attempted user identity.

REST Web Services authentication

Message:	scanner: WS Sign In Authentication; time="<timestamp>" sign-in_method=<sign-in method> user="<user>" source_IP="<client computer IP address>" outcome=failure
Interface(s):	REST Web Services
Syslog severity:	Warning
Explanation:	Authentication of an HTTP request containing a REST Web Services message using HTTP basic access authentication failed.
Variables	<p><sign-in method> - Sign-in method that was used to perform authentication. Possible values are:</p> <ul style="list-style-type: none"> • local_device • windows <p><user> - Attempted user identity.</p>

Account lockout

Account entered lockout (protected) mode

Message:	scanner: Account Entered Lockout Mode; time="<timestamp>" account="Administrator" outcome=success
Interface(s):	EWS, control panel, and REST

Syslog severity: Informational

Explanation: The local administrator account was locked.

Account exited lockout (protected) mode

Message: scanner: Account Exited Lockout Mode; time="<timestamp>"
account="Administrator" outcome=success

Interface(s): N/A

Syslog severity: Informational

Explanation: The local administrator account was unlocked.

IPsec

The following table lists the possible values of the <reason for failure> variable contained within syslog messages generated for unsuccessful IKE negotiations:

Table 7-3 <reason for failure> variable contained within syslog messages

Item	Description
<reason for failure>	Reason IKE negotiations failed. Possible values are: <ul style="list-style-type: none">• Certificate_was_not_found(anywhere)• Certificate_chain_looped(did_not_find_trusted_root)• Certificate_contains_critical_extension_that_was_not_handled• Certificate_issuer_was_not_valid(CA_specific_Informationalrmtion_mising)• Certificate_was_not_valid_in_the_time_interval• Certificate_is_not_valid• Certificate_signature_was_not_verified_correctly• Certificate_was_revoked_by_a_CRL• Certificate_was_not_added_to_the_cache• Certificate_decoding_failed• Algorithm_mismatch_between_the_certificate_and_the_search_constraints• Key_usage_mismatch_between_the_certificate_and_the_search_constraints

Item	Description
	<ul style="list-style-type: none"> • CRL_is_too_old • CRL_is_not_valid • CRL_signature_was_not_verified_correctly • CRL_was_not_found(anywhere) • CRL_was_not_added_to_the_cache • CRL_decoding_failed • CRL_is_not_currently_valid_but_in_the_future • CRL_contains_duplicate_serial_numbers • Time_interval_is_not_continuous • Time_Informationalrmation_not_available • Database_method_failed_due_to_timeout • Database_method_failed • Path_was_not_verified • Maximum_path_length_reached • No_IPsec_rules_configured • Peer_IP_address_mismatch • Local_IP_address_mismatch • CA_not_trusted • Access_group_mismatch • Local_Traffic_Selector_mismatch • Remote_Traffic_Selector_mismatch • Local_ID_mismatch • Remote_ID_mismatch • Lost_on_simultaneous_SA_rekey_arbitration • IKE_version_mismatch • Protocol_mismatch_with_NAT-T • Algorithm_did_not_match_policy

Item	Description
	<ul style="list-style-type: none"> • Unsupported_algorithm • Authentication_method_mismatch • Unsupported_authentication_method • Encapsulation_mode_mismatch • Out_of_memory • Encryption_algorithm_mismatch • PRF_algorithm_mismatch • Integrity_algorithm_mismatch • DH_group_mismatch • Extended_Sequence_Number_mismatch • IKE_transform_attribute_mismatch(possible_key_size_mismatch) • ESP_NULL_NULL_proposed • Authentication_failed: • No_proposal_chosen: • Timed_out • Internal_error

IKEv1 phase 1 negotiations

Message:	scanner: IPsec IKEv1 phase 1 negotiation; time="<timestamp>" authentication_option=certificates item=HCD_role value=Responder source_IP="<IPsec peer IP address>" destination_IP="<local device IP address>" outcome=success
Interface(s):	IPsec
Syslog severity:	Warning
Explanation:	IKEv1 phase 1 negotiations initiated by the IPsec peer were successful.
Variables:	<IPsec peer IP address> - IP address of the IPsec peer. <local device IP address> - IP address of the local device.

Message:	scanner: IPsec IKEv1 phase 1 negotiation; time="<timestamp>" item=HCD_role value=Responder source_IP="<IPsec peer IP address>" destination_IP="<local device IP address>" outcome=failure Reason=<reason for failure>
Interface(s):	IPsec
Syslog severity:	Warning
Explanation:	IKEv1 phase 1 negotiations initiated by the IPsec peer failed.
Variables:	<IPsec peer IP address> - IP address of the IPsec peer. <local device IP address> - IP address of the local device. <reason for failure> - See Table 7-3 .

Message:	scanner: IPsec IKEv1 phase 1 negotiation; time="<timestamp>" authentication_option=certificates item=HCD_role value=Initiator source_IP="<local device IP address>" destination_IP="<IPsec peer IP address>" outcome=success
Interface(s):	IPsec
Syslog severity:	Warning
Explanation:	IKEv1 phase 1 negotiations initiated by the local device were successful.
Variables:	<IPsec peer IP address> - IP address of the IPsec peer. <local device IP address> - IP address of the local device.

Message:	scanner: IPsec IKEv1 phase 1 negotiation; time="<timestamp>" item=HCD_role value=Initiator source_IP="<local device IP address>" destination_IP="<IPsec peer IP address>" outcome=failure Reason=<reason for failure>
Interface(s):	IPsec
Syslog severity:	Warning
Explanation:	IKEv1 phase 1 negotiations initiated by the local device failed.
Variables:	<IPsec peer IP address> - IP address of the IPsec peer. <local device IP address> - IP address of the local device. <reason for failure> - See Table 7-3 .

IKEv1 phase 2 negotiations

Message:	scanner: IPsec IKEv1 phase 2 negotiation; time="<timestamp>" authentication_option=certificates item=HCD_role value=Responder source_IP="<IPsec peer IP address>" destination_IP="<local device IP address>" outcome=success
-----------------	--

Interface(s):	IPsec
Syslog severity:	Warning
Explanation:	IKEv1 phase 2 negotiations initiated by the IPsec peer were successful.
Variables:	<IPsec peer IP address> - IP address of the IPsec peer. <local device IP address> - IP address of the local device.
Message:	scanner: IPsec IKEv1 phase 2 negotiation; time="<timestamp>" item=HCD_role value=Responder source_IP="<IPsec peer IP address>" destination_IP="<local device IP address>" outcome=failure Reason=<reason for failure>
Interface(s):	IPsec
Syslog severity:	Warning
Explanation:	IKEv1 phase 2 negotiations initiated by the IPsec peer failed.
Variables:	<IPsec peer IP address> - IP address of the IPsec peer. <local device IP address> - IP address of the local device. <reason for failure> - See Table 7-3 .
Message:	scanner: IPsec IKEv1 phase 2 negotiation; time="<timestamp>" authentication_option=certificates item=HCD_role value=Initiator source_IP="<local device IP address>" destination_IP="<IPsec peer IP address>" outcome=success
Interface(s):	IPsec
Syslog severity:	Warning
Explanation:	IKEv1 phase 2 negotiations initiated by the local device were successful.
Variables:	<IPsec peer IP address> - IP address of the IPsec peer. <local device IP address> - IP address of the local device.
Message:	scanner: IPsec IKEv1 phase 2 negotiation; time="<timestamp>" item=HCD_role value=Initiator source_IP="<local device IP address>" destination_IP="<IPsec peer IP address>" outcome=failure Reason=<reason for failure>
Interface(s):	IPsec
Syslog severity:	Warning
Explanation:	IKEv1 phase 2 negotiations initiated by the local device failed.
Variables:	<IPsec peer IP address> - IP address of the IPsec peer.

<local device IP address> - IP address of the local device.

<reason for failure> - See [Table 7-3](#).

Job Completion

Email jobs

Message:	scanner: E-mail job completion; time="<timestamp>" user="<user>" outcome=success
Interface(s):	Control panel
Syslog severity:	Informational
Explanation:	A Scan to Email was sent to all recipients successfully.

Message:	scanner: E-mail job completion; time="<timestamp>" user="<user>" outcome=canceled
Interface(s):	Control panel
Syslog severity:	Warning
Explanation:	A Scan to Email job was canceled.
Variables:	<user> - User who initiated the Scan to Email job.

Message:	scanner: E-mail job completion; time="<timestamp>" user="<user>" outcome=partial_success
Interface(s):	Control panel
Syslog severity:	Warning
Explanation:	A Scan to Email job addressed to two or more recipients was sent successfully to at least one recipient but not to all recipients.

Message:	scanner: E-mail job completion; time="<timestamp>" user="<user>" outcome=failure
Interface(s):	Control panel
Syslog severity:	Warning
Explanation:	The processing of a Scan to Email failed.

Scan to SharePoint® jobs

Message:	scanner: Save to SharePoint job completion; time="<timestamp>" user="<user>" outcome=success
Interface(s):	Control panel
Syslog severity:	Informational
Explanation:	A Scan to SharePoint® job was completed successfully.
Message:	scanner: Save to SharePoint job completion; time="<timestamp>" user="<user>" outcome=canceled
Interface(s):	Control panel
Syslog severity:	Warning
Explanation:	A Scan to SharePoint® job was canceled.
Variables:	<user> - User who initiated the Scan to SharePoint® job.
Message:	scanner: Save to SharePoint job completion; time="<timestamp>" user="<user>" outcome=partial_success
Interface(s):	Control panel
Syslog severity:	Warning
Explanation:	A Scan to SharePoint® job that was addressed to multiple SharePoint(s) paths was sent successfully to at least one SharePoint path but not to all SharePoint® paths.
Message:	scanner: Save to SharePoint job completion; time="<timestamp>" user="<user>" outcome=failure
Interface(s):	Control panel
Syslog severity:	Warning
Explanation:	A Scan to SharePoint® job failed

Scan to network folder

Message:	scanner: Save to Network Folder job completion; time="<timestamp>" user="<user>" outcome=success
Interface(s):	Control Panel
Syslog severity:	Informational
Explanation:	A Save to Network Folder job was completed successfully.

Message:	scanner: Save to Network Folder job completion; time="<timestamp>" user="<user>" outcome=canceled
Interface(s):	Control panel
Syslog severity:	Warning
Explanation:	A Scan to Network Folder job was canceled.
Variables:	<user> - User who initiated the Scan to Network job.

Message:	scanner: Save to Network Folder job completion; time="<timestamp>" user="<user>" outcome=partial_success
Interface(s):	Control panel
Syslog severity:	Warning
Explanation:	A Scan to Network Folder job addressed to multiple shared folder paths was sent successfully to at least one shared folder path but not to all shared folder paths.

Message:	scanner: Save to Network Folder job completion; time="<timestamp>" user="<user>" outcome=failure
Interface(s):	Control Panel
Syslog severity:	Warning
Explanation:	A Scan to Network Folder job failed.

Job notification

Message:	scanner: Job Notification completion; time="<timestamp>" user="<user>" outcome=success
Interface(s):	N/A
Syslog severity:	Informational
Explanation:	A job notification report was delivered by email.
Variables:	<user> - User who initiated the job that resulted in the job notification report.

Message:	scanner: Job Notification completion; time="<timestamp>" user="<user>" outcome=canceled
Interface(s):	N/A
Syslog severity:	Warning
Explanation:	A job notification report by email was canceled.

Variables:	<user> - User who initiated the job that resulted in the job notification report.
Message:	scanner: Job Notification completion; time="<timestamp>" user="<user>" outcome=failed
Interface(s):	N/A
Syslog severity:	Warning
Explanation:	A job notification report by email failed.
Variables:	<user> - User who initiated the job that resulted in the job notification report.

Use of the management functions

NTP server settings

Message:	scanner: Date and Time configuration modified; time="<timestamp>" item=automatically_synchronize_with_network_time_server value=enabled old_value=disabled user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	Automatic time synchronization with the Network Time Server was enabled.
Message:	scanner: Date and Time configuration modified; time="<timestamp>" item=automatically_synchronize_with_network_time_server value=disabled old_value=enabled user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	Automatic time synchronization with the Network Time Server was disabled.
Message:	scanner: Date and Time configuration modified; time="<timestamp>" item=<item> value=<value> old_value=<old value> user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The Network Time Server settings were modified.
Variables	<item> - Setting that was modified. Possible values are:

-
- network_time_server_address
 - local_port_to_receive_time_from_network_time_server
 - frequency_of_time_synchronization_with_network_time_server_in_hours

<value> - New setting value.

<old value> - Old setting value.

Message: scanner: Date and Time configuration modified; time="<timestamp>"
item=automatically_synchronize_with_network_time_server value=disabled
old_value=enabled user="<user>" source_IP="<client computer IP address>"
outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: The Network Time Server settings were reset to factory defaults

Managing temporary job files

Message: scanner: File Erase Mode for erasing temporary job files modified;
time="<timestamp>" value=<value> old_value=<old value> user="<user>"
source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: The file erase mode used to overwrite temporary job files was modified.

Variables <value> - New setting value.

<old value> - Old setting value.

Possible setting values are:

- non_secure_fast_erase
 - secure_fast_erase
 - secure_sanitize_erase
-

Syslog settings

Message: scanner: Syslog settings modified; time="<timestamp>" user="<user>"
source_IP="<client computer IP address>" outcome=success interface=Wired

Interface(s): EWS

Syslog severity: Warning

Explanation: A syslog setting was modified.

This message is generated when any of the following syslog settings are modified:

- Syslog server IP address
- Syslog protocol
- Syslog port
- Syslog maximum messages
- Syslog priority
- Syslog facility

Variables: <user> - User who modified the syslog setting.

<client computer IP address> - IP address of the computer that sent the request to modify the syslog setting.

Enhanced security event logging

Message: scanner: CCC logging started; time="<timestamp>" user="<user>"
source_IP="<client computer IP address>" outcome=success interface=Wired

Interface(s): EWS

Syslog severity: Notice

Explanation: Enhanced security event logging was enabled.

Message: scanner: CCC logging stopped; time="<timestamp>" user="<user>"
source_IP="<client computer IP address>" outcome=success interface=Wired

Interface(s): EWS

Syslog severity: Notice

Explanation: Enhanced security event logging was disabled.

Control panel inactivity-timeout

Message: scanner: Control Panel Inactivity Timeout Changed; time="<timestamp>"
value=<value> old_value=<old value> user="<user>" source_IP="<client
computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation:	The inactivity timeout setting was modified.
Variables:	<value> - New setting value. <old value> - Old setting value.
Message:	scanner: Control Panel Inactivity Timeout Changed; time="<timestamp>" value=<value> old_value=<old value> user="<user>" outcome=success
Interface(s):	Control panel
Syslog severity:	Informational
Explanation:	The inactivity timeout setting was modified.
Variables:	<value> - New setting value. <old value> - Old setting value.

EWS session timeout

Message:	scanner: EWS Session Timeout modified; time="<timestamp>" value=<value> old_value=<old value> user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The EWS session timeout setting was modified.
Variables:	<value> - New setting value. <old value> - Old setting value.

Account lockout policy

Message:	scanner: Account Lockout Policy enabled; time="<timestamp>" account=local_administrator user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The account lockout policy for the device administrator password was enabled.
Message:	scanner: Account Lockout Policy disabled; time="<timestamp>" account=local_administrator user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS

Syslog severity:	Informational
Explanation:	The account lockout policy for the device administrator password was disabled.
Message:	scanner: Account Lockout Policy setting modified; time="<timestamp>" account=local_administrator item=maximum_login_attempts value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The maximum attempts setting for the device administrator account lockout policy was modified.
Variables:	<value> - New setting value. <old value> - Old setting value.
Message:	scanner: Account Lockout Policy setting modified; time="<timestamp>" account=local_administrator item=default_lockout_time value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The lockout interval setting for the device administrator account lockout policy was modified.
Variables:	<value> - New setting value. <old value> - Old setting value.
Message:	scanner: Account Lockout Policy setting modified; time="<timestamp>" account=local_administrator item=counter_reset_time value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The reset lockout interval setting for the device administrator account lockout policy was modified.
Variables:	<value> - New setting value. <old value> - Old setting value.

Minimum password length

Message:	scanner: Minimum Password Length Policy setting modified; time="<timestamp>" account=local_administrator item=minimum_password_length
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```
value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
```

Interface(s): EWS

Syslog severity: Informational

Explanation: The minimum password length policy for the device administrator password was modified.

Variables: <value> - New setting value.

<old value> - Old setting value.

Device administrator password

Message: scanner: Device Administrator Password modified; time="<timestamp>" user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: The device administrator password was set, cleared, or modified.

Message: scanner: Device Administrator Password modified; time="<timestamp>" user="<user>" source_IP="<client computer IP address>" outcome=failure

Interface(s): EWS

Syslog severity: Warning

Explanation: An attempt to set, clear, or modify the device administrator password was unsuccessful.

LDAP Sign In

Message: scanner: LDAP Sign In enabled; time="<timestamp>" user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: LDAP Sign In was enabled.

Message: scanner: LDAP Sign In disabled; time="<timestamp>" user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: LDAP Sign In was disabled.

Message:	scanner: LDAP Sign In configuration modified; time="<timestamp>" item=LDAP_server_address value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The LDAP server address setting for LDAP Sign In was set, cleared, or modified.
Variables:	<value> - New setting value. <old value> - Old setting value.
Message:	scanner: LDAP Sign In configuration modified; time="<timestamp>" item=LDAP_server_port value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The LDAP server port setting for LDAP Sign In was modified.
Variables:	<value> - New setting value. <old value> - Old setting value.
Message:	scanner: LDAP Sign In configuration modified; time="<timestamp>" item=use_a_secure_connection_SSL value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The "Use a secure connection (SSL)" setting for LDAP Sign In was either enabled or disabled.
Variables:	<value> - New setting value. <old value> - Old setting value.
Message:	scanner: LDAP Sign In configuration modified; time="<timestamp>" item=bind_prefix value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The bind prefix setting for LDAP Sign In was set, cleared, or modified.
Variables:	<value> - New setting value.

<old value> - Old setting value.

Message: scanner: LDAP Sign In configuration modified; time="<timestamp>"
item=LDAP_administrator_password user="<user>" source_IP="<client computer
IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: The administrator's password for binding to the LDAP server for LDAP Sign In was set, cleared, or modified.

Message: scanner: LDAP Sign In configuration modified; time="<timestamp>"
item=server_connection_credentials_to_use value="<value>" old_value="<old
value>" user="<user>" source_IP="<client computer IP address>"
outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: The "server connection credentials to use" option for LDAP Sign In was modified.

Variables: <value> - New setting value. Possible values are:

- UserCredentials
- AdministratorCredentials

<old value> - Old setting value. Possible values are:

- UserCredentials
 - AdministratorCredentials
-

Message: scanner: LDAP Sign In configuration modified; time="<timestamp>"
item=LDAP_administrator_DN value="<value>" old_value="<old value>"
user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: The administrator's Distinguished Name for LDAP Sign In was set, cleared, or modified.

Variables: <value> - New administrator Distinguished Name.

<old value> - Old administrator Distinguished Name.

Message: scanner: LDAP Sign In configuration modified; time="<timestamp>"
item=name_entered_match_attribute value="<value>" old_value="<old value>"
user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The "Match the name entered with this attribute" setting for LDAP Sign In was set, cleared, or modified.
Variables:	<value> - New setting value. <old value> - Old setting value.
Message:	scanner: LDAP Sign In configuration modified; time="<timestamp>" item=email_address_retrieve_attribute value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The "Retrieve the user's email address using this attribute" setting for LDAP Sign In was set, cleared, or modified.
Variables:	<value> - New setting value. <old value> - Old setting value.
Message:	scanner: LDAP Sign In configuration modified; time="<timestamp>" item=name_retrieve_attribute value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The "Retrieve the device user's name using this attribute" setting for LDAP Sign In was set, cleared, or modified.
Variables:	<value> - New setting value. <old value> - Old setting value.
Message:	scanner: LDAP Sign In configuration modified; time="<timestamp>" item=group_retrieve_attribute value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The "Retrieve the device user's group using this attribute" setting for LDAP Sign In was set, cleared, or modified.
Variables:	<value> - New setting value. <old value> - Old setting value.

Message:	scanner: LDAP Sign In configuration modified; time="<timestamp>" item=exact_match_on_group_attribute value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The "Exact match on Group attribute" setting for LDAP Sign In was either enabled or disabled.
Variables:	<value> - New setting value. <old value> - Old setting value.

Message:	scanner: LDAP Sign In configuration modified; time="<timestamp>" action=bind_and_search_root_added value="<value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	A search root for looking up the user's name and email for LDAP Sign In was added.
Variables:	<value> - Bind and search root that was added.

Message:	scanner: LDAP Sign In configuration modified; time="<timestamp>" action=bind_and_search_root_deleted value="<value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	A search root for looking up the user's name and email for LDAP Sign In was deleted.
Variables:	<value> - Bind and search root that was deleted.

Message:	scanner: LDAP Sign In configuration modified; time="<timestamp>" action=bind_and_search_root_order_modified user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The order of the search roots used for looking up the user's name and email for LDAP Sign In was modified.

Windows Sign In

Message:	scanner: Windows Sign In enabled; time="<timestamp>" user="<user>" source_IP="<client computer IP address>" outcome=success
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Interface(s):	EWS
Syslog severity:	Informational
Explanation:	Windows Sign In was enabled.
Message:	scanner: Windows Sign In disabled; time="<timestamp>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	Windows Sign In was disabled.
Message:	scanner: Windows Sign In configuration modified; time="<timestamp>" action=trusted_domain_added value="<value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	A trusted domain for Windows Sign In was added.
Variables:	<value> - Trusted domain that was added.
Message:	scanner: Windows Sign In configuration modified; time="<timestamp>" action=trusted_domain_deleted value="<value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	A trusted domain for Windows Sign In was deleted.
Variables:	<value> - Trusted domain that was deleted.
Message:	scanner: Windows Sign In configuration modified; time="<timestamp>" item=default_windows_domain value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The default Windows domain for Windows Sign In was modified.
Variables:	<value> - New default Windows domain. <old value> - Old default Windows domain

Message:	scanner: Windows Sign In configuration modified; time="<timestamp>" action=preferred_server_added value="<value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	A preferred domain for Windows Sign In was added.
Variables:	<value> - Preferred domain added. <user> - Authenticated user who added the preferred domain.
Message:	scanner: Windows Sign In configuration modified; time="<timestamp>" action=preferred_server_deleted value="<value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	A preferred domain for Windows Sign In was deleted.
Variables:	<value> - Preferred domain deleted.
Message:	scanner: Windows Sign In configuration modified; time="<timestamp>" item=name_entered_match_attribute value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The "Match the name entered with this attribute" setting for Windows Sign In was set, cleared, or modified.
Variables:	<value> - New setting value. <old value> - Old setting value.
Message:	scanner: Windows Sign In configuration modified; time="<timestamp>" item=email_address_retrieve_attribute value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The "Retrieve the user's email using this attribute" setting for Windows Sign In was set, cleared, or modified.
Variables:	<value> - New setting value. <old value> - Old setting value.

Message: scanner: Windows Sign In configuration modified; time="<timestamp>" item=name_retrieve_attribute value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: The "Retrieve the device user's name using this attribute" setting for Windows Sign In was set, cleared, or modified.

Variables: <value> - New setting value.

<old value> - Old setting value.

Message: scanner: Windows Sign In configuration modified; time="<timestamp>" item=reverse_DNS_lookups value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: The "Enable reverse DNS lookups" setting for Windows Sign In was either enabled or disabled.

Variables: <value> - New setting value.

<old value> - Old setting value.

Message: scanner: Windows Sign In configuration modified; time="<timestamp>" item=use_a_secure_connection value="<value>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: The "Use a secure connection (SSL)" setting for Windows Sign In was either enabled or disabled.

Variables: <value> - New setting value.

<old value> - Old setting value.

Custom permission sets

Message: scanner: Permission Set added; time="<timestamp>" permission_set="<permission set>" user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation:	A custom permission set was added.
Variables:	<permission set> - Name of custom permission set added.
Message:	scanner: Permission Set modified; time="<timestamp>" permission_set="<permission set>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The name of a custom permission set was modified.
Variables:	<permission set> - Name of custom permission set modified.
Message:	scanner: Permission Set copied; time="<timestamp>" permission_set="<permission set>" copied_from_permission_set="<base permission set>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	A custom permission set was added by copying an existing permission set.
Variables:	<permission set> - Custom permission set added. <base permission set> - Base permission set for the custom permission set that was added. Possible values are: <ul style="list-style-type: none"> • Device Administrator • Device User Possible values also include any custom permission sets that have been added.
Message:	scanner: Permission Set deleted; time="<timestamp>" permission_set="<permission set>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	A custom permission set was deleted.
Variables:	<permission set> - Custom permission set that was deleted.

Permission set associations

Default permission set for sign-in method

Message:	scanner: Default Permission Set for sign-in method modified; time="<timestamp>" sign-in_method=<sign-in method> permission_set="<permission set>" old_value="<old value>" user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	The default permission set for a sign-in method modified.
Variables:	<p><sign-in method> - Sign-in method whose permission set was modified. Possible values are:</p> <ul style="list-style-type: none">• local_device• windows• ldap <p><permission set> - New default permission set. Possible values are:</p> <ul style="list-style-type: none">• Device Administrator• Device User <p>Possible values also include any custom permission sets that have been added.</p> <p><old value> - Old default permission set. Possible values are:</p> <ul style="list-style-type: none">• Device Administrator• Device User <p>Possible values also include any custom permission sets that have been added.</p>

Network user to permission set relationships

Message:	scanner: User to Permission Set Relationship added; time="<timestamp>" network_user_name="<user name>" permission_set="<permission set>" sign_in_method=<sign-in method> user="<user>" source_IP="<client computer IP address>" outcome=success
Interface(s):	EWS
Syslog severity:	Informational
Explanation:	A network user to permission set relationship was added.
Variables:	<user name> - Network user name specified in the network user to permission set relationship.

<permission_set> - Permission set specified in the network user to permission set relationship. Possible values are:

- Device Administrator
- Device User

Possible values also include any custom permission sets that have been added.

<sign-in_method> - Sign-in method specified in the user to permission set relationship. Possible values are:

- local_device
 - windows
 - ldap
-

Message: scanner: User to Permission Set Relationship deleted; time="<timestamp>" network_user_name="<user name>" permission_set="<permission set>" sign_in_method="<sign-in method>" user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: A network user to permission set relationship was deleted.

Variables: <user_name> - Network user name specified in the user to permission set relationship.

<permission_set> - Permission set specified in the network user to permission set relationship. Possible values are:

- Device Administrator
- Device User

Possible values also include any custom permission sets that have been added.

<sign-in_method> - Sign-in method specified in the user to permission set relationship. Possible values are:

- local_device
 - windows
 - ldap
-

Network group to permission set relationships

Message: scanner: Group to Permission Set Relationship added; time="<timestamp>" network_group_name="<group name>" permission_set="<permission set>"

```
sign_in_method=<sign-in method> user="<user>" source_IP="<client computer IP address>" outcome=success
```

Interface(s): EWS

Syslog severity: Informational

Explanation: A network group to permission set relationship was added.

Variables: <group name> - Network group name specified in the network group to permission set relationship.

<permission set> - Permission set specified in the network group to permission set relationship. Possible values are:

- Device Administrator
- Device User

Possible values also include any custom permission sets that have been added.

<sign-in method> - Sign-in method specified in the network group to permission set relationship. Possible values are:

- local_device
 - windows
 - ldap
-

Message: scanner: Group to Permission Set Relationship deleted; time="<timestamp>" network_group_name="<group name>" permission_set="<permission set>" sign_in_method="<sign-in method> user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: A network group to permission set relationship was deleted.

Variables: <group name> - Network group name.

<permission set> - Permission set specified in the network group to permission set relationship. Possible values are:

- Device Administrator
- Device User

Possible values also include any custom permission sets that have been added.

<sign-in method> - Sign-in method that was used to perform authentication. Possible values are:

- local_device
 - windows
-

-
- ldap
-

Permissions associated with permission sets

Message: scanner: Permission Set modified; time="<timestamp>" permission_set="<permission set>" permission=<permission> value=<value> old_value=<old value> user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: A permission in a permission set was modified to either deny or grant access.

Variables: <permission set> - Permission set. Possible values are:

- Device Guest
- Device User

Possible values also include any custom permission sets that have been added.

<permission> - Permission. Possible permissions depend on the protected applications and features supported by the HCD.

<value> - New permission status. Possible permission statuses are:

- access_granted
- access_denied

<old value> - Old permission status. Possible permission statuses are:

- access_granted
 - access_denied
-

Allow users to choose alternate sign-in methods at the product control panel

Message: scanner: Sign In and Permission Policy settings modified; time="<timestamp>" item=allow_users_to_choose_alternate_sign-in_methods value=<value> old_value=<old value> user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: The "Allow users to choose alternate sign-in methods at the product control panel" setting was either enabled or disabled.

Variables: <value> - New setting value.

<old value> - Old setting value.

Certificates

CA certificates

Message: scanner: Device CA certificate installed; time="<timestamp>" user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: A device CA certificate was installed.

Message: scanner: Device CA certificate deleted; time="<timestamp>" user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: A device CA certificate was deleted.

Identity certificates

Message: scanner: Device Identity certificate and private key installed; time="<timestamp>" user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: A device identity certificate with private key was imported.

Message: scanner: Device Identity certificate deleted; time="<timestamp>" user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s): EWS

Syslog severity: Informational

Explanation: A device identity certificate was deleted.

Message: scanner: Device Identity certificate for network identity selected; time="<timestamp>" user="<user>" source_IP="<client computer IP address>" outcome=success

Interface(s):	EWS
Syslog severity:	Informational
Explanation:	A new device identity certificate was selected for network identity.

IPsec/Firewall

IPsec/Firewall policy

Message:	scanner: IPsec/Firewall enabled; time="<timestamp>" user="<user>" source_IP="<client computer IP address>" outcome=success interface=Wired
Interface(s):	EWS
Syslog severity:	Warning
Explanation:	The IPsec/Firewall policy was enabled.
Message:	scanner: IPsec/Firewall disabled; time="<timestamp>" user="<user>" source_IP="<client computer IP address>" outcome=success interface=Wired
Interface(s):	EWS
Syslog severity:	Warning
Explanation:	The IPsec/Firewall policy was disabled.

IPsec/Firewall rules

Message:	scanner: IPsec/Firewall rule added; time="<timestamp>" rule=<rule index> user="<user>" source_IP="<client computer IP address>" outcome=success interface=Wired
Interface(s):	EWS
Syslog severity:	Warning
Explanation:	An IPsec/Firewall rule was added.
Variables:	<rule index> - Index of rule in the rules list. <user> - User who added the IPsec/Firewall rule.
Message:	scanner: IPsec/Firewall rule position changed; time="<timestamp>" rule_<old index>_moved_to_<new index> user="<user>" source_IP="<client computer IP address>" outcome=success interface=Wired
Interface(s):	EWS
Syslog severity:	Warning

Explanation:	Index of an IPsec/Firewall rule in the rules list was modified.
Variables:	<old index> - Old index of the rule in the rules list. <new index> - New index of the rule in the rules list.
Message:	scanner: IPsec/Firewall rule deleted; time="<timestamp>" rule=<rule index> user="<user>" source_IP="<client computer IP address>" outcome=success interface=Wired
Interface(s):	EWS
Syslog severity:	Warning
Explanation:	An IPsec/Firewall rule was deleted.
Variables:	<rule index> - Index of rule in the rules list.
Message:	scanner: IPsec/Firewall rule enabled; time="<timestamp>" rule=<rule index> user="<user>" source_IP="<client computer IP address>" outcome=success interface=Wired
Interface(s):	EWS
Syslog severity:	Warning
Explanation:	An IPsec/Firewall rule was enabled.
Variables:	<rule index> - Index of rule in the rules list.
Message:	scanner: IPsec/Firewall rule disabled; time="<timestamp>" rule=<rule index> user="<user>" source_IP="<client computer IP address>" outcome=success interface=Wired
Interface(s):	EWS
Syslog severity:	Warning
Explanation:	An IPsec/Firewall rule was disabled.
Variables:	<rule index> - Index of rule in the rules list.
Message:	scanner: IPsec/Firewall default rule action modified; time="<timestamp>" value=<value> old_value=<old value> user="<user>" source_IP="<client computer IP address>" outcome=success interface=Wired
Interface(s):	EWS
Syslog severity:	Warning
Explanation:	The action-on-match for the default IPsec/Firewall rule was modified.
Variables:	<value> - New action-on-match. Possible values are:

- allow
- drop

<old value> - Old action-on-match. Possible values are:

- allow
- drop

IPsec/Firewall address templates

Message: scanner: IPsec/Firewall address policy added; time="<timestamp>"
policy_name="<name>" user="<user>" source_IP="<client computer IP address>"
outcome=success interface=Wired

Interface(s): EWS

Syslog severity: Warning

Explanation: An IPsec/Firewall address template was added.

Variables: <name> - Template name.

Message: scanner: IPsec/Firewall address policy modified; time="<timestamp>"
policy_name="<name>" user="<user>" source_IP="<client computer IP address>"
outcome=success interface=Wired

Interface(s): EWS

Syslog severity: Warning

Explanation: An IPsec/Firewall address template was modified.

Variables: <name> - Template name.

<user> - User who modified the IPsec/Firewall address template.

Message: scanner: IPsec/Firewall address policy deleted; time="<timestamp>"
policy_name="<name>" user="<user>" source_IP="<client computer IP address>"
outcome=success interface=Wired

Interface(s): EWS

Syslog severity: Warning

Explanation: An IPsec/Firewall address template was deleted.

Variables: <name> - Template name.

IPsec/Firewall service templates

Message:	scanner: IPsec/Firewall service policy added; time="<timestamp>" policy_name="<name>" user="<user>" source_IP="<client computer IP address>" outcome=success interface=Wired
Interface(s):	EWS
Syslog severity:	Warning
Explanation:	An IPsec/Firewall service template was added.
Variables:	<name> - Template name.
Message:	scanner: IPsec/Firewall service policy modified; time="<timestamp>" policy_name="<name>" user="<user>" source_IP="<client computer IP address>" outcome=success interface=Wired
Interface(s):	EWS
Syslog severity:	Warning
Explanation:	An IPsec/Firewall service template was modified.
Variables:	<name> - Template name. <user> - User who modified the IPsec/Firewall service template.
Message:	scanner: IPsec/Firewall service policy deleted; time="<timestamp>" policy_name="<name>" user="<user>" source_IP="<client computer IP address>" outcome=success interface=Wired
Interface(s):	EWS
Syslog severity:	Warning
Explanation:	An IPsec/Firewall service template was deleted.
Variables:	<name> - Template name.

IPsec/Firewall advanced options

Message:	scanner: IPsec/Firewall configuration change; time="<timestamp>" item=advanced_settings value=<advanced option> user="<user>" source_IP="<client computer IP address>" outcome=success interface=Wired
Interface(s):	EWS
Syslog severity:	Warning
Explanation:	An IPsec/Firewall policy advanced option was modified.
Variables:	<advanced option> - IPsec/Firewall policy advanced option that was modified. Possible values are:

- WS-Discovery_service
- IGMPv2_service
- ICMPv6_service
- ICMPv4_service
- Bonjour_service
- SLP_service
- DHCPv6_service
- DHCPv4_BOOTP_service
- NTP_service
- Fail_Safe_option
- IKE_Retries
- IKE_Retransmit_interval
- Dead_Peer_Timer

IKEv1 IPsec/Firewall template

Message: scanner: IPsec policy added; time="<timestamp>" policy_name="<name>"
item=identity_authentication_option value=certificates user="<user>"
source_IP="<client computer IP address>" outcome=success interface=Wired

Interface(s): EWS

Syslog severity: Warning

Explanation: An IKEv1 IPsec/Firewall template was added.

Variables: <name> - IPsec/Firewall template name.

Message: scanner: IPsec policy modified; time="<timestamp>" policy_name="<name>"
item=identity_authentication_option value=certificates
old_value=certificates user="<user>" source_IP="<client computer address>"
outcome=success interface=Wired

Interface(s): EWS

Syslog severity: Warning

Explanation: An IKEv1 IPsec/Firewall template was modified.

Variables: <name> - IPsec/Firewall template name.

Message: scanner: IPsec policy deleted; time="<timestamp>" policy_name="<name>"
item=identity_authentication_option value=certificates user="<user>"
source_IP="<client computer IP address>" outcome=success interface=Wired

Interface(s): EWS

Syslog severity: Warning

Explanation: An IKEv1 IPsec/Firewall template was deleted.

Variables: <name> - IPsec/Firewall template name.

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